

Metacapacitors: Printed high-frequency capacitors for electric power conversion

Eli S. Leland

Power Supply on Chip 2012

November 17, 2012



CUNY ENERGY INSTITUTE



Advanced Research Projects Agency • ENERGY

Metacapacitors™: Next-generation power electronics for LED lighting and other applications



What	Why	How	What for
<ul style="list-style-type: none"> Better DC-DC converters 	<ul style="list-style-type: none"> Cheaper Smaller More efficient Longer lasting 	<ul style="list-style-type: none"> Switched capacitor circuit topologies Novel high-frequency, low-loss capacitors Scalable continuous printed fabrication No transformers or electrolytics 	<ul style="list-style-type: none"> LED lighting drivers PV power conversion Mobile devices Power supplies

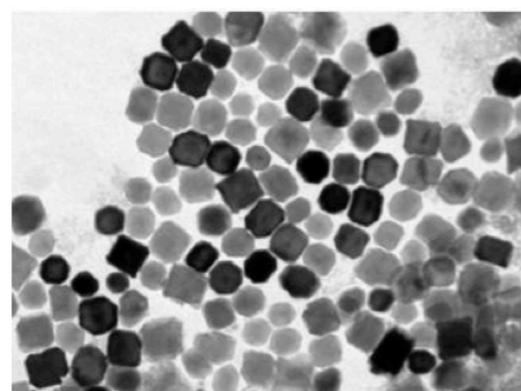
Our multidisciplinary team combines enabling technology and expertise:

Analog power circuits and IC design



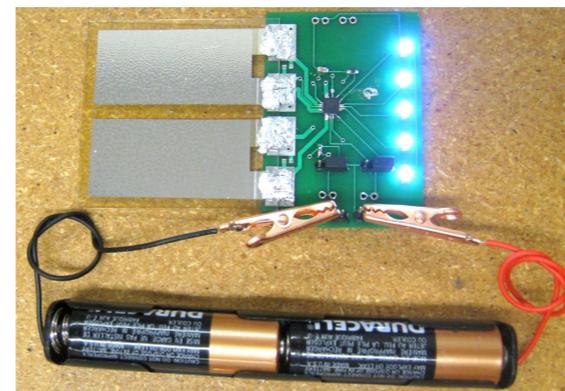
Sanders (Berkeley), Kinget (Columbia)

Self-assembling nanoparticle dielectrics



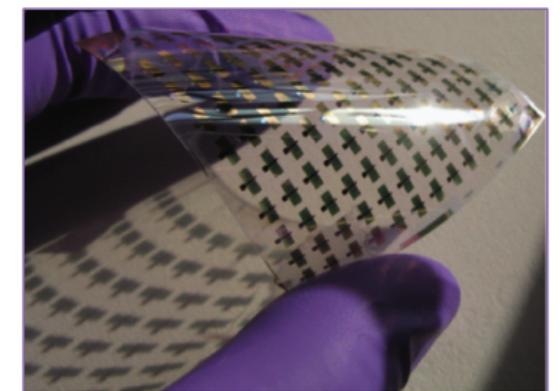
O'Brien, Couzis (CUNY)

Scalable capacitor printing technologies



Steingart, Leland (CUNY)

Flexible substrates and novel device integration

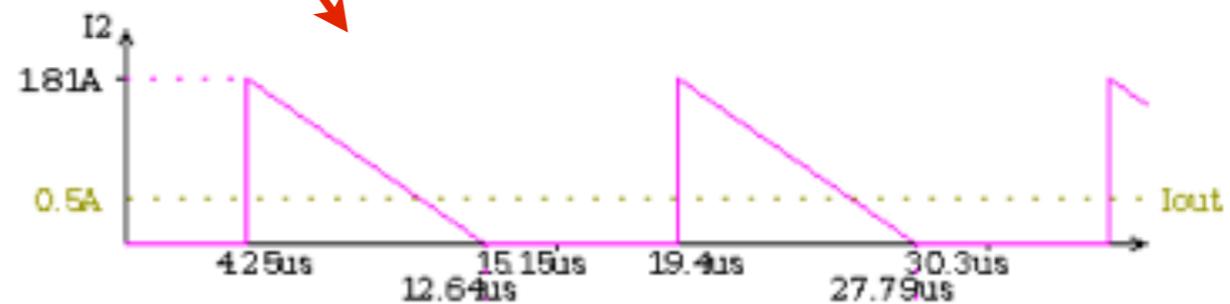
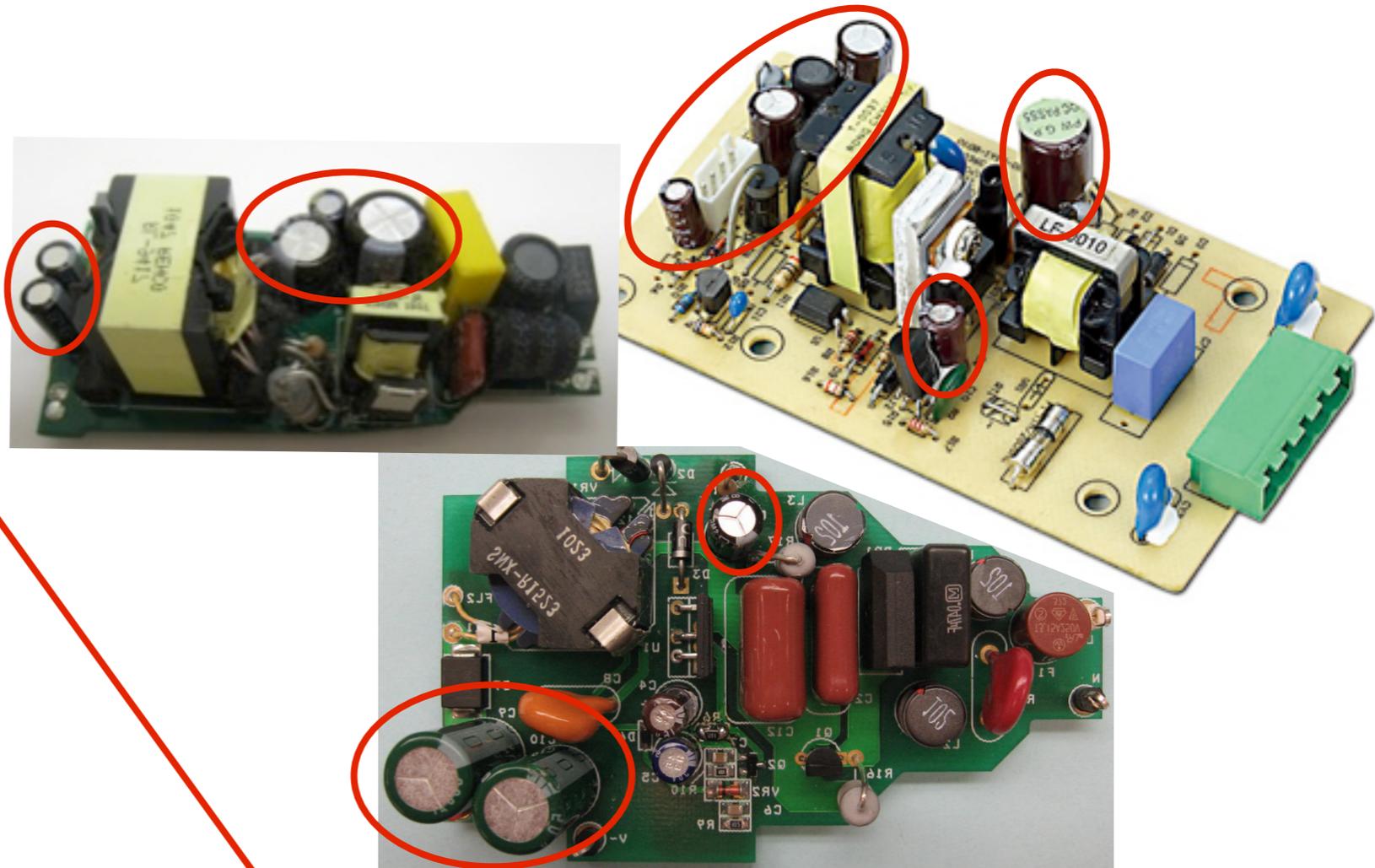


Kymissis (Columbia)

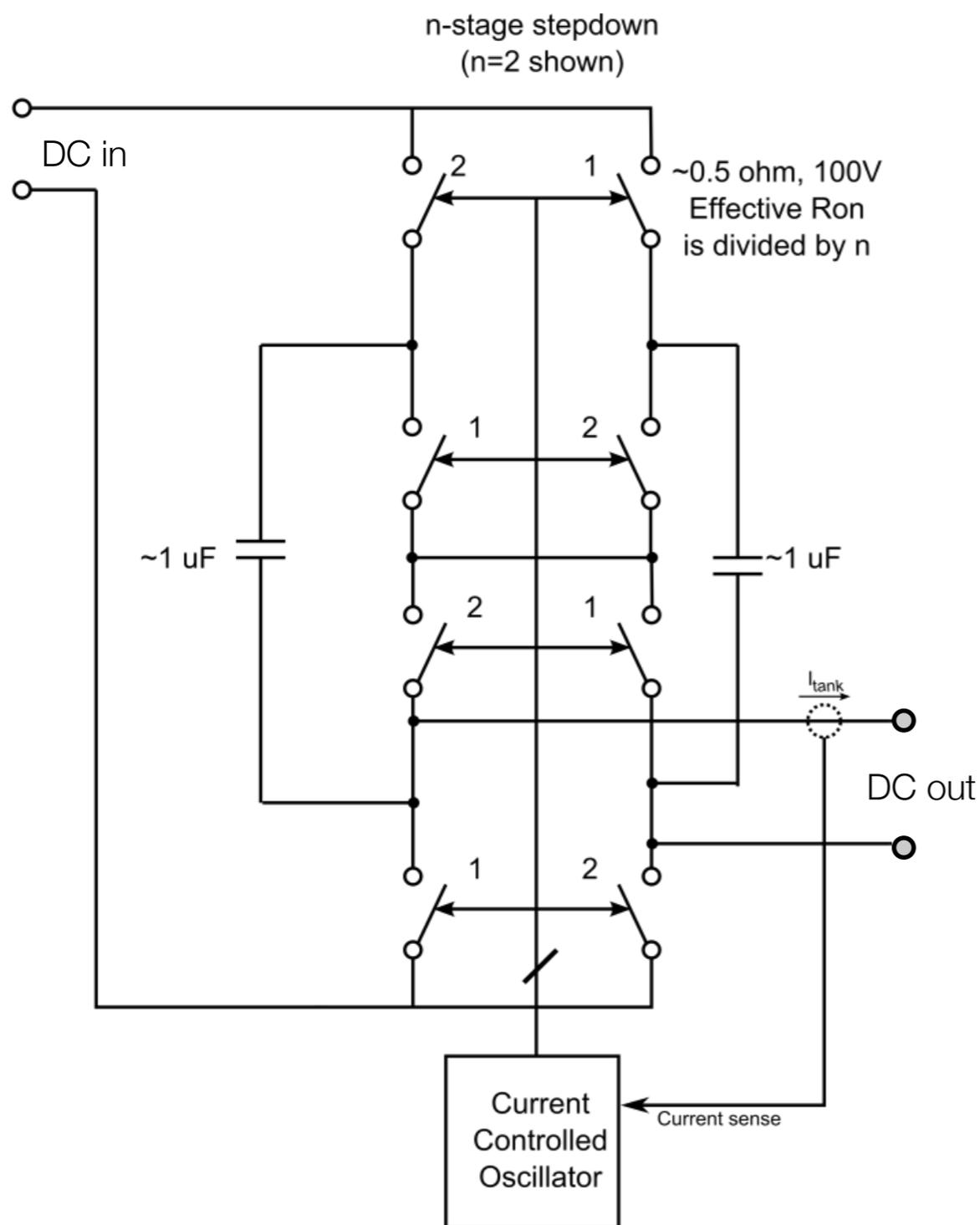


Switched-mode power supplies dominate, but have issues

- Lifetime limited by electrolytic capacitors
- Significant energy storage capacitance is required due to output waveform
- Efficiencies of 70-80% are common. Higher efficiencies are achievable, but at significantly higher cost
- Nonetheless, SMPS developments continue to be slow, evolutionary

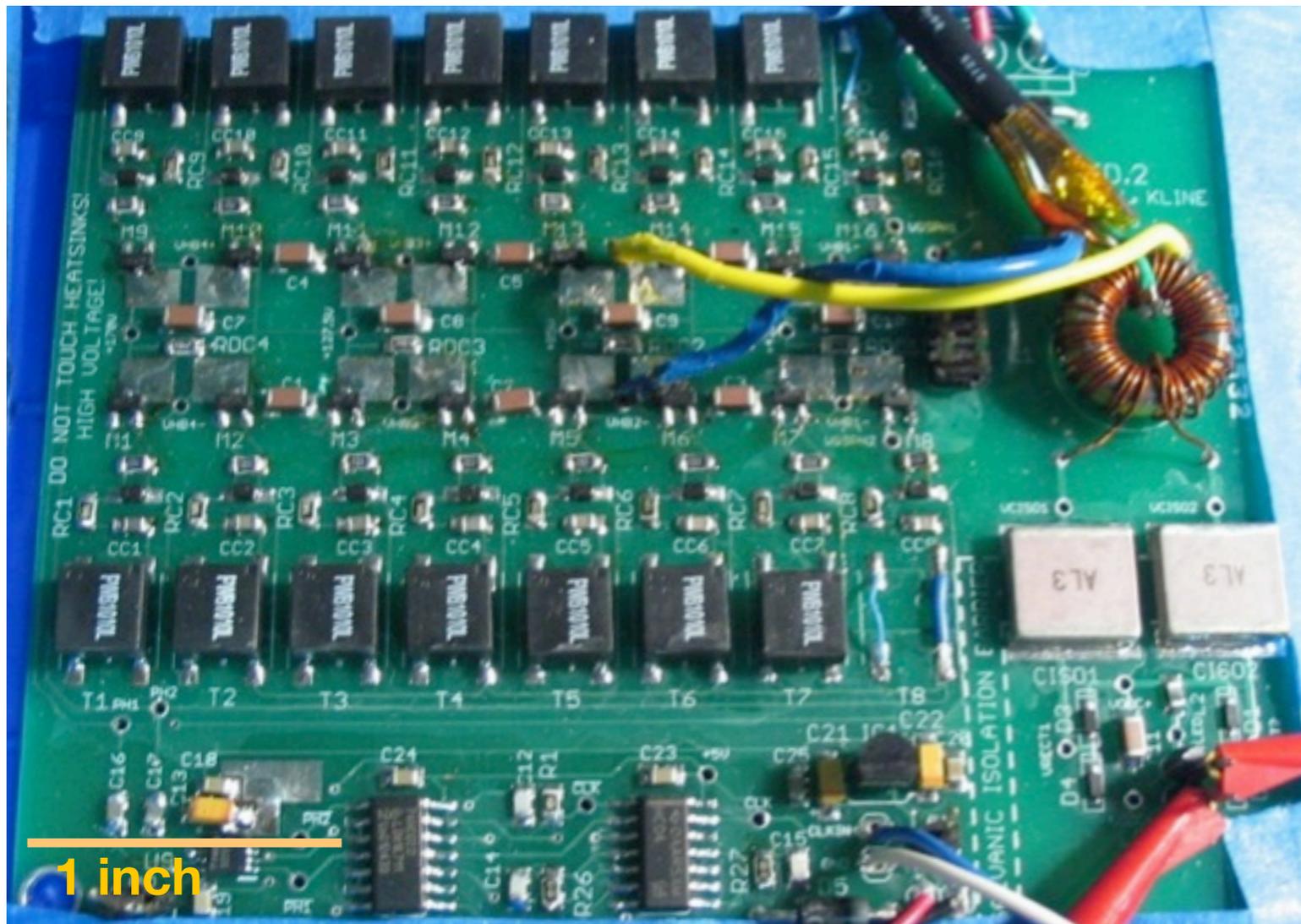


Switched capacitor converters offer an attractive alternative



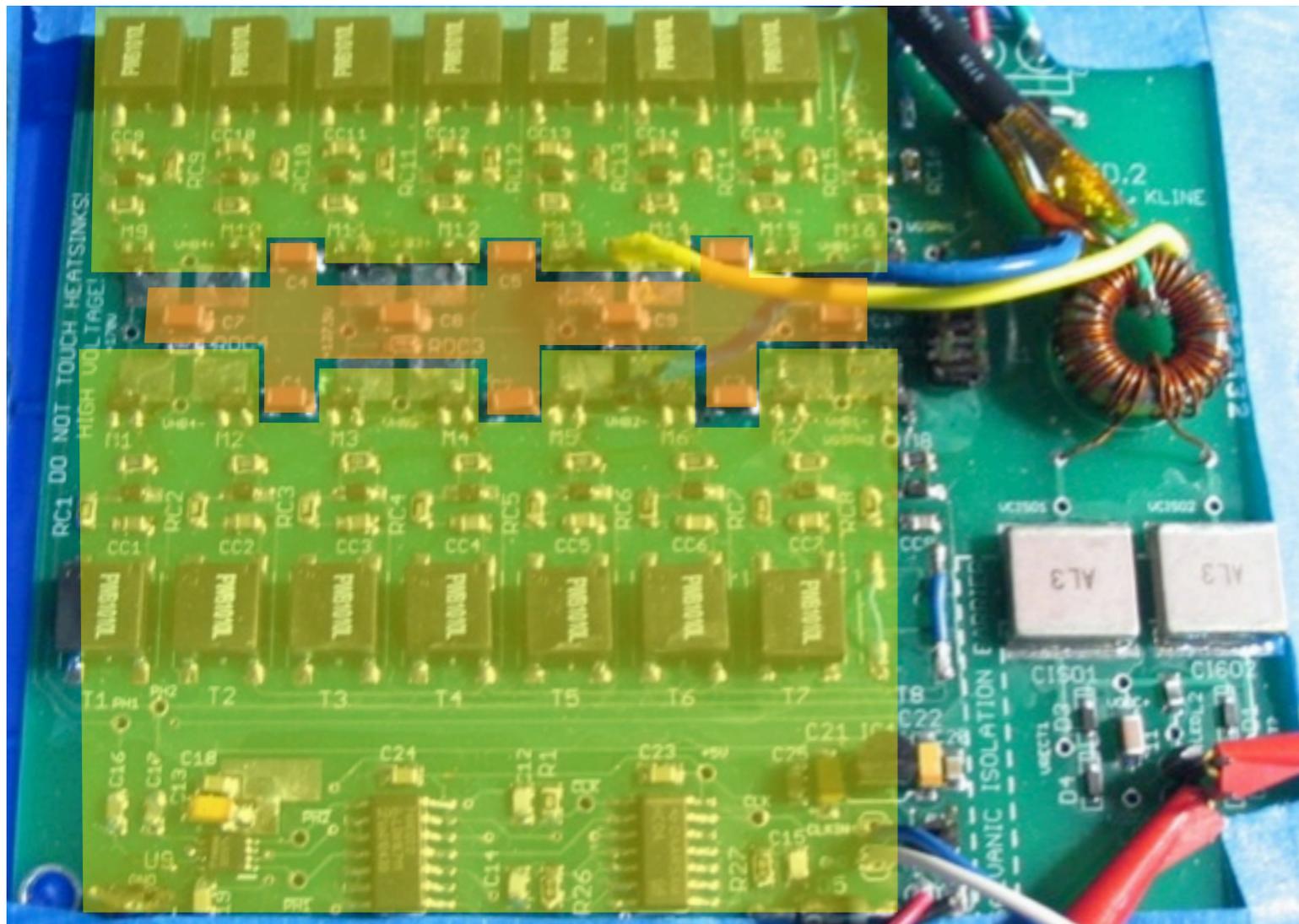
- DC-DC power train is only switches and capacitors, no transformers or inductors
- Switches and capacitors only handle a fraction of the input voltage or total current
- Higher switching frequencies allow for higher power densities, efficiencies of 95% or greater
- **Vision:** A two-component power converter
 - Passives printed on cheap flex
 - Single IC for switching, control

Discrete component proof-of-concept



- Switched capacitor LED driver prototype
- 15.5 W, 425 mA, 2.29 MHz switching frequency
- 92% efficient, achieving DOE goal for 2020
- Input/output isolation
- PWM dimming

How do we get to our vision?

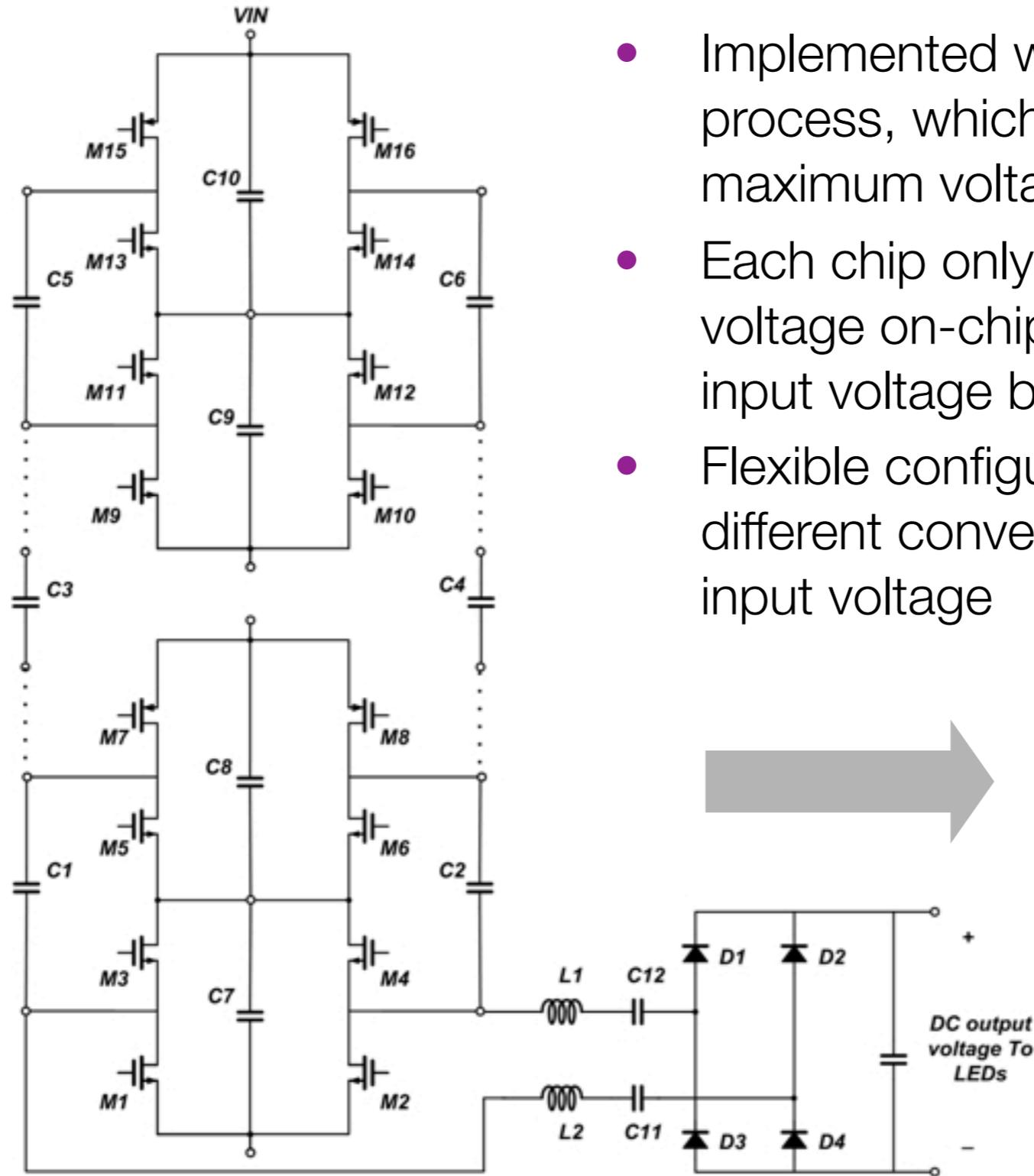


Integrated circuit
for switching and
control

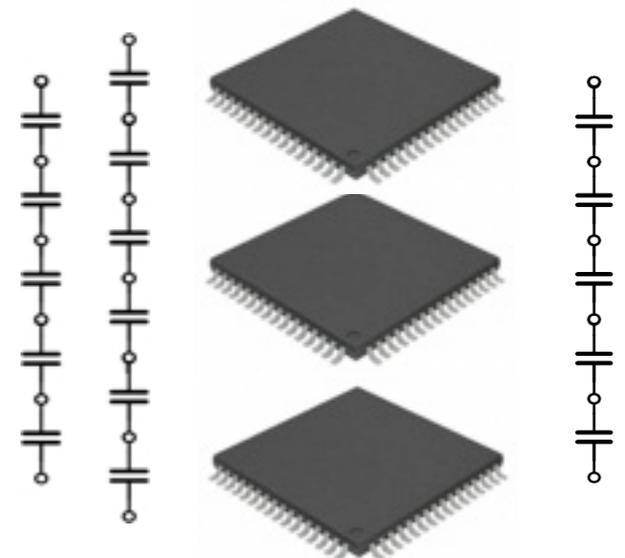
Powertrain
capacitor network
printed on flex

Chip-stacking switch capacitor DC-DC converter IC

Chip #N
 ...
 Chip #2
 ...
 Chip #1



- Implemented with TI's ABCD5HV process, which can handle 120V maximum voltage on-chip
- Each chip only needs to stand 100V voltage on-chip, can handle higher input voltage by stacking more chips
- Flexible configuration. Can provide different conversion ratios for a given input voltage

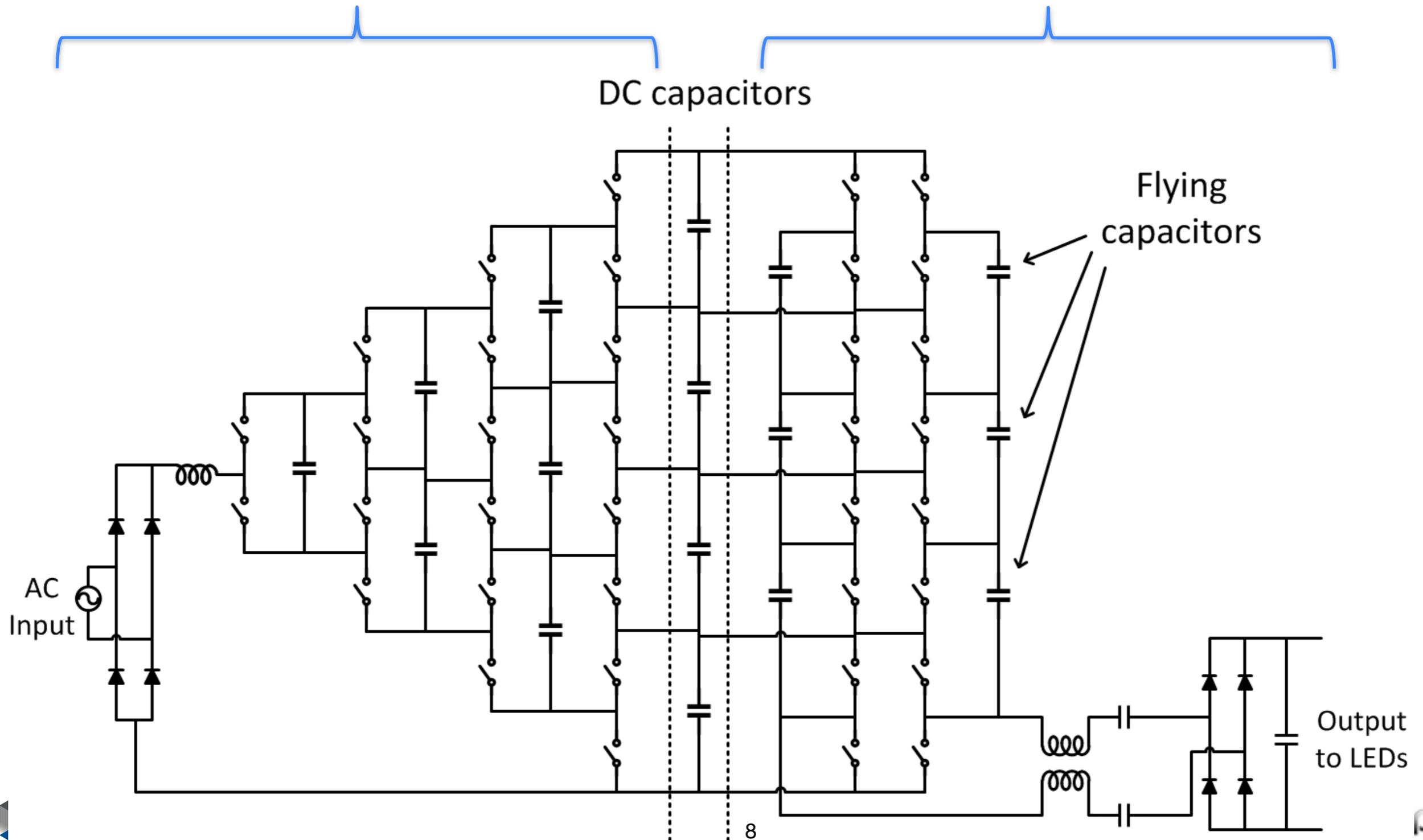


Discrete PFC off-line LED driver prototype



Multi-level PFC rectifier

Switched-Capacitor Regulator

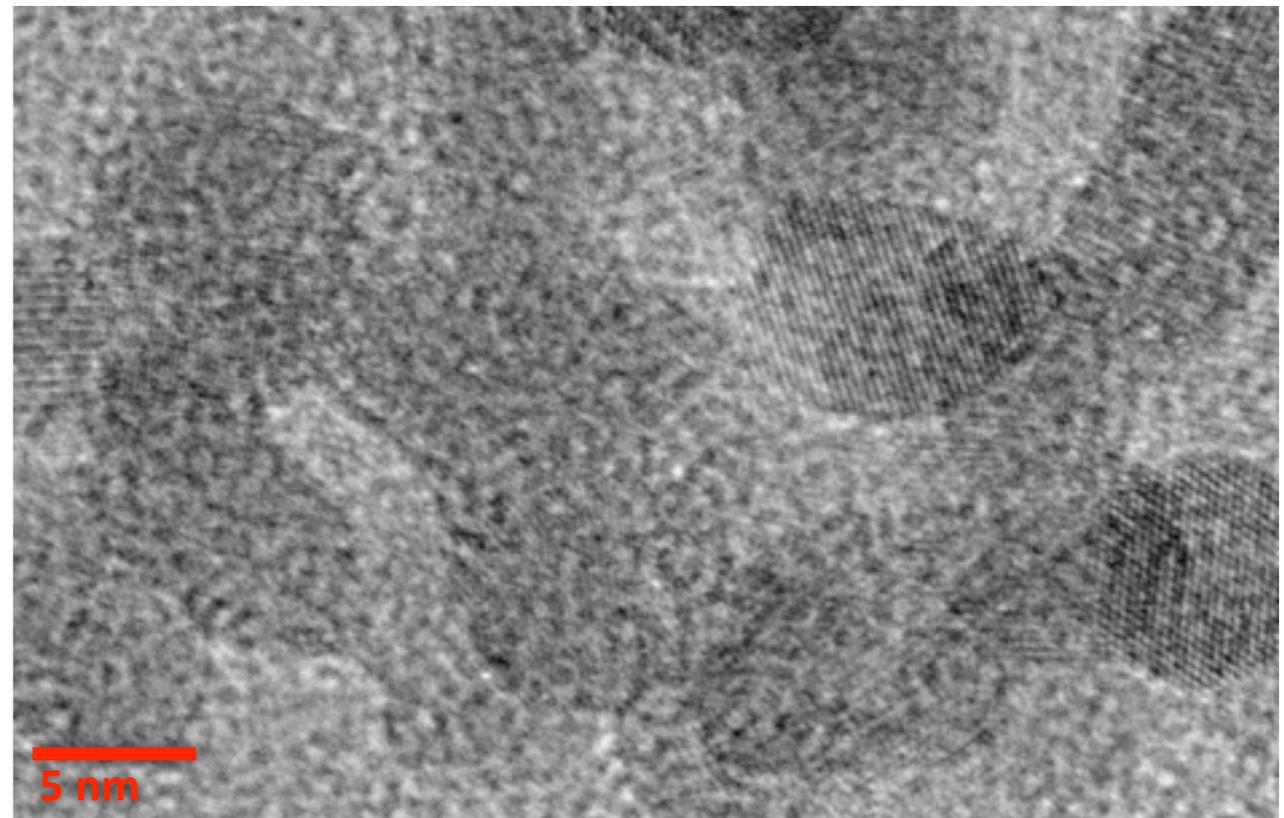
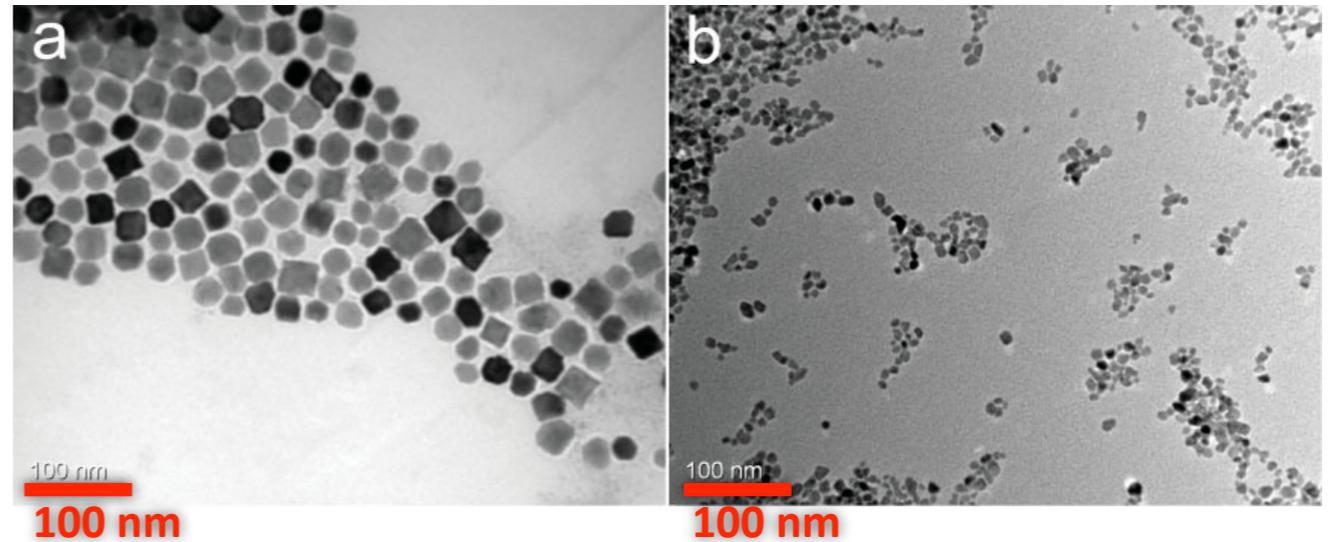


What about the capacitors?

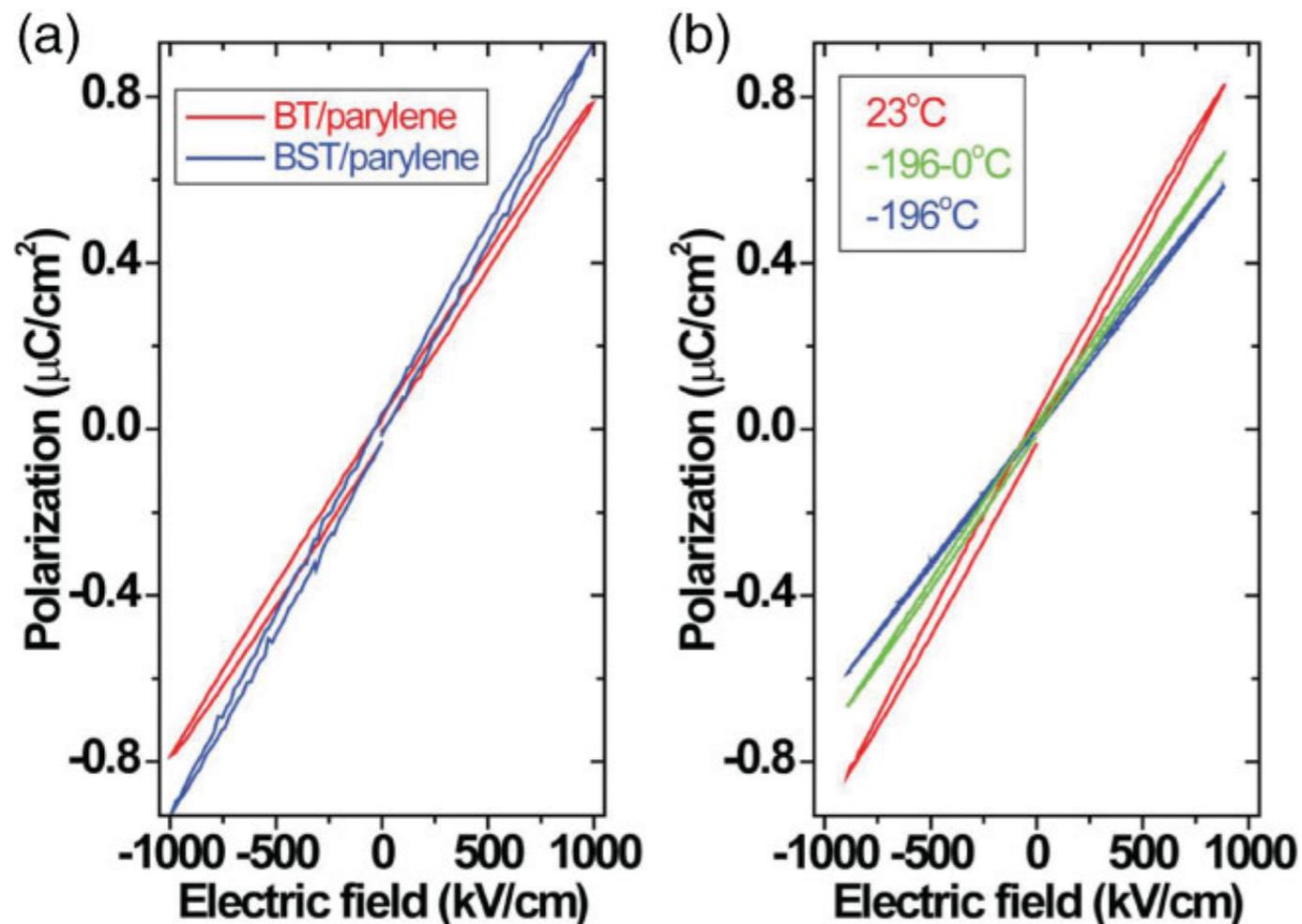
- High-frequency
- Cheap!
 - Printable
 - Roll-to-roll process compatible
 - Low-temperature fabrication

Printable nanoparticle dielectric

- BaTiO₃ and (Ba, Sr)TiO₃ nanoparticles, single crystal, size controllable from 5-100 nm
- Low temperature (<100°C), scalable batch synthesis; no HTCC/LTCC processing
- Size, composition determined by solvents (alcohol, water) and metal-organic precursors



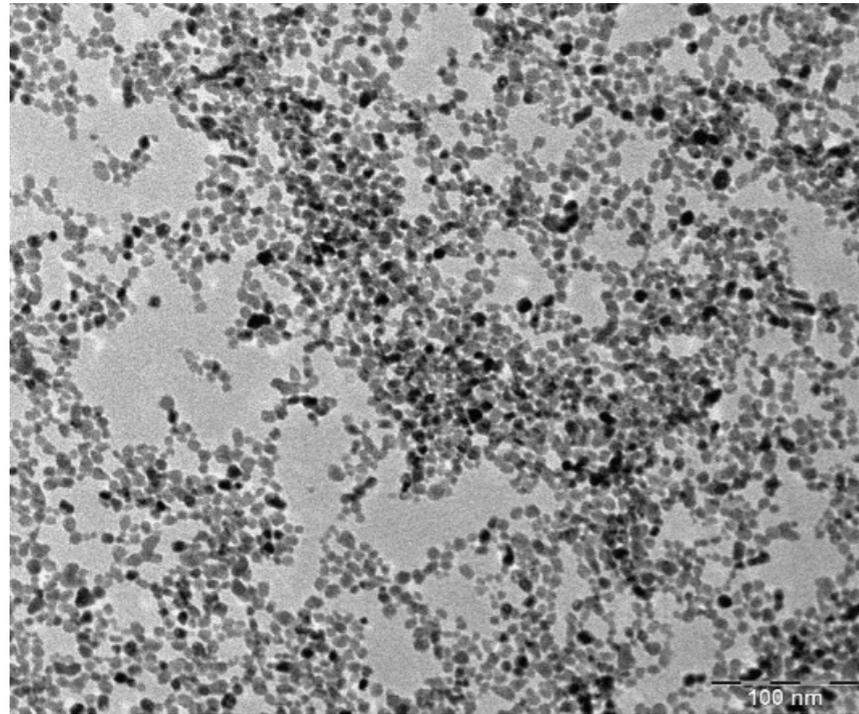
High-frequency capability



- Single crystal particles exhibit no dielectric hysteresis, reducing dielectric switching losses
- Nanoparticle dielectric inks are compatible with printing processes
- Printing process must deliver consistent, functional dielectric films exploiting the low-loss behavior of the dielectric

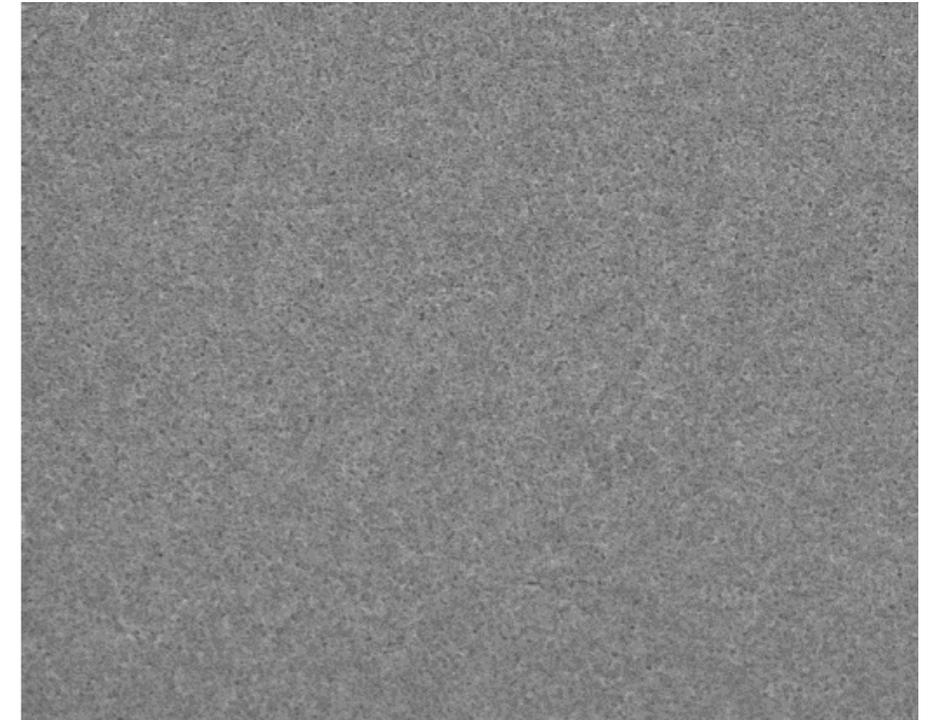
Huang, *et al.*, "High K capacitors and OFET gate dielectrics from self-assembled BaTiO_3 and $(\text{Ba,Sr})\text{TiO}_3$ nanocrystals in the superparaelectric limit," *Advanced Functional Materials*, 2010

Scaled-up synthesis, high-quality films



100 nm

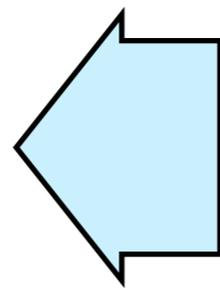
Highly uniform BST nanoparticles form void-free films



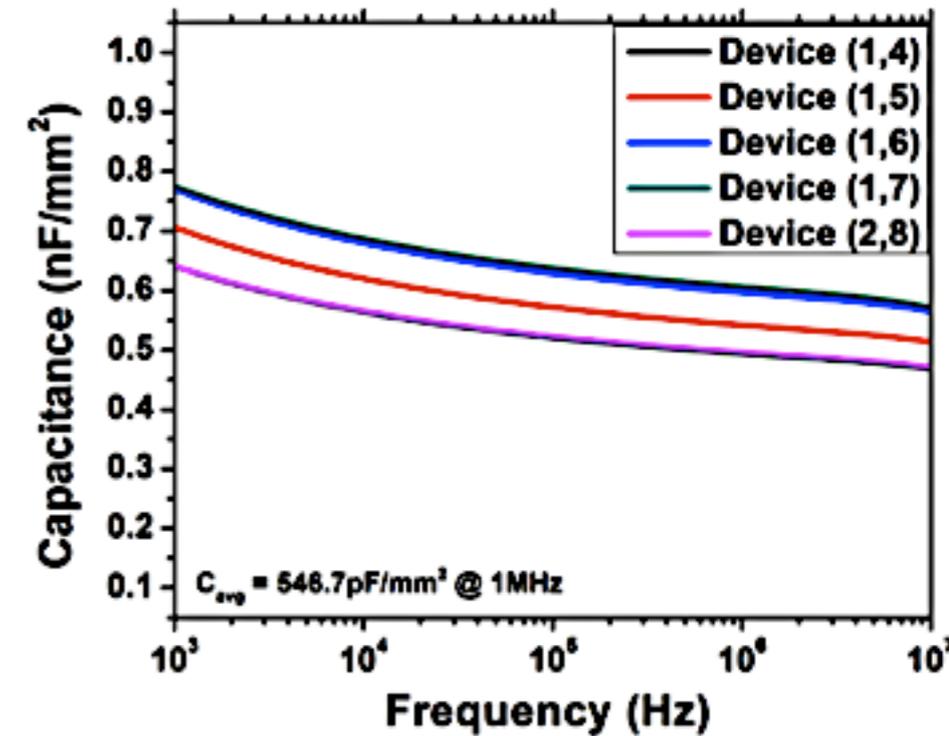
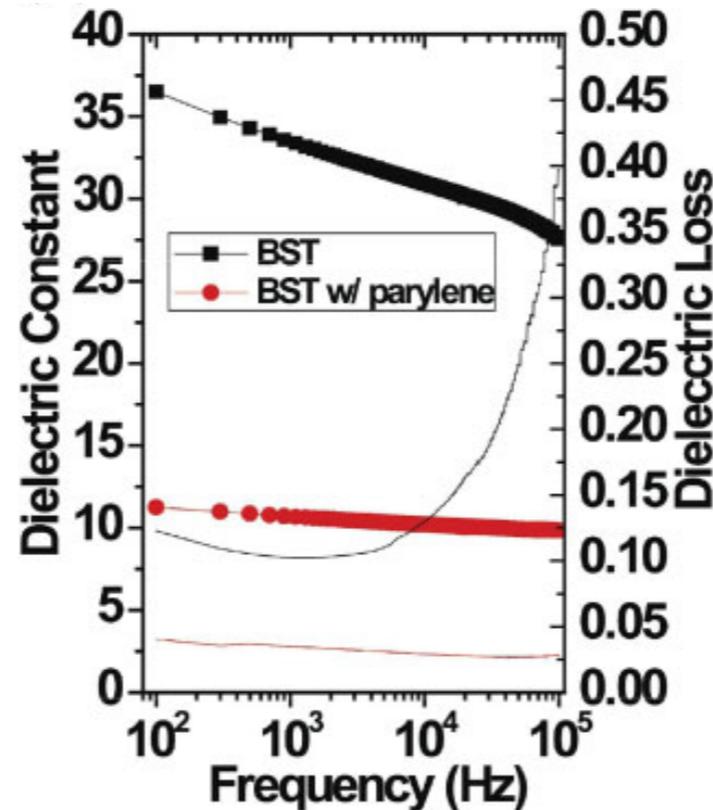
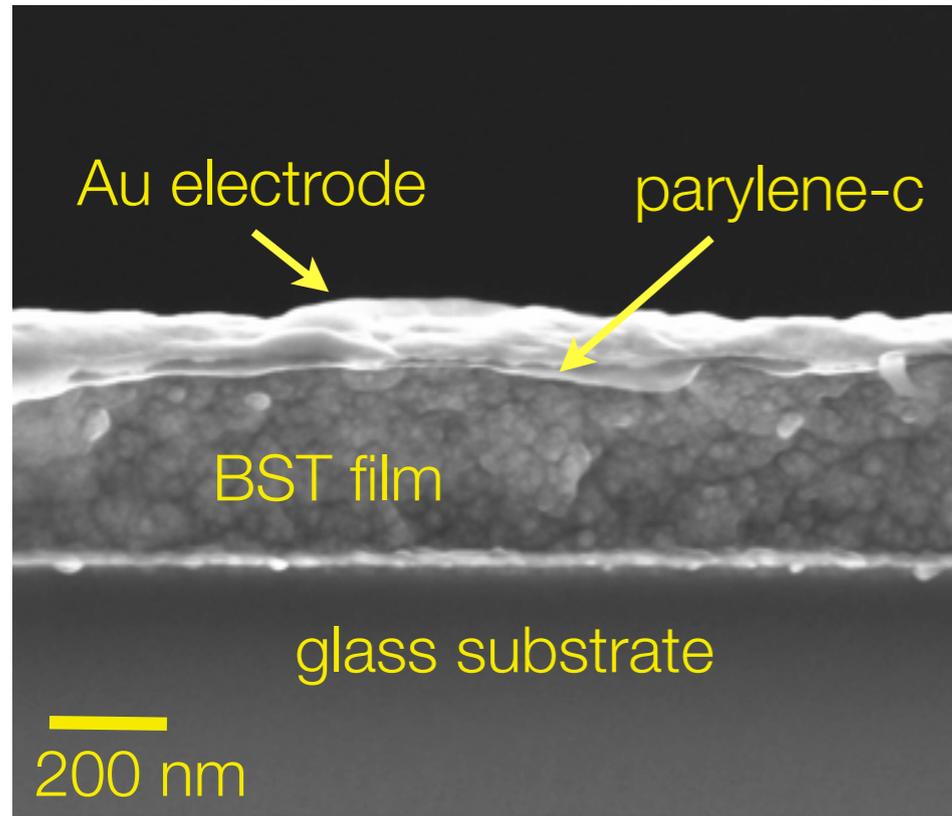
300 nm



Low temperature synthesis ($< 100^{\circ}\text{C}$, no HTCC/LTCC) scaled to 200 mL - 1 L batches

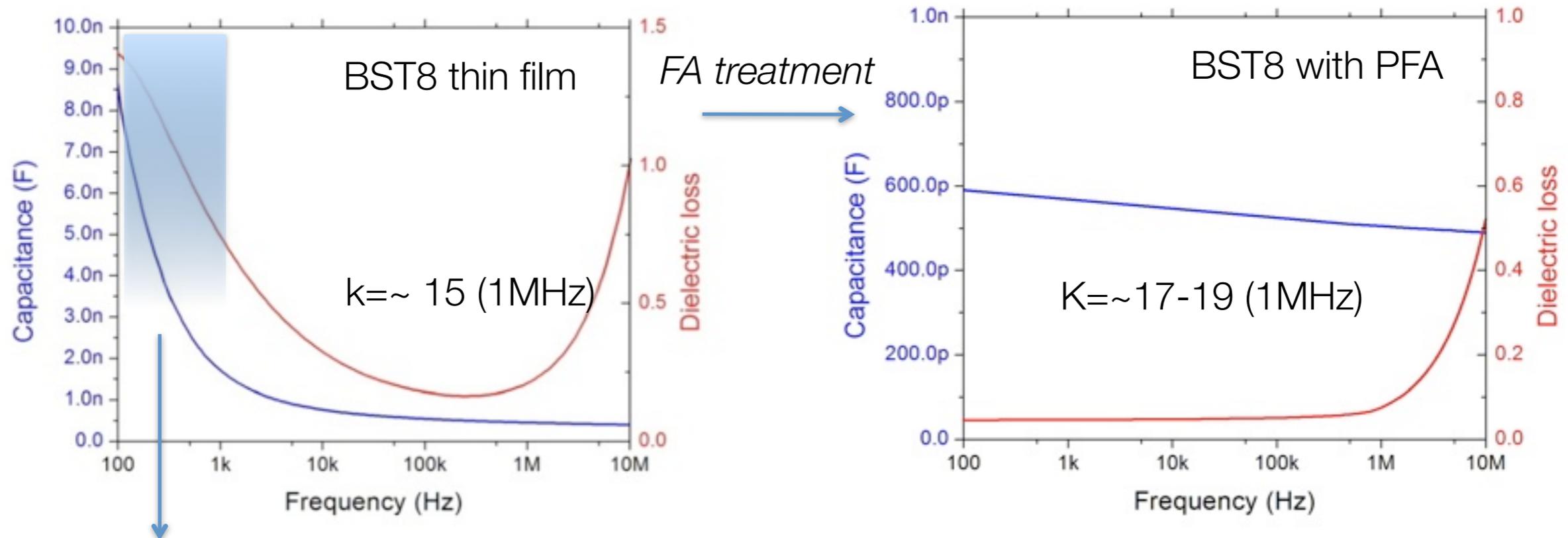


Early prototypes: Spin-coated BST with parylene capping layer



- Spin-coated nanoparticles on glass with thermally-evaporated Au electrodes
- CVD Parylene-C layer to reduce high-frequency loss
- Capacitance flat to 10 MHz, dissipation factor < 0.05 at 1 MHz
- Not roll-to-roll compatible, difficult to scale vertically

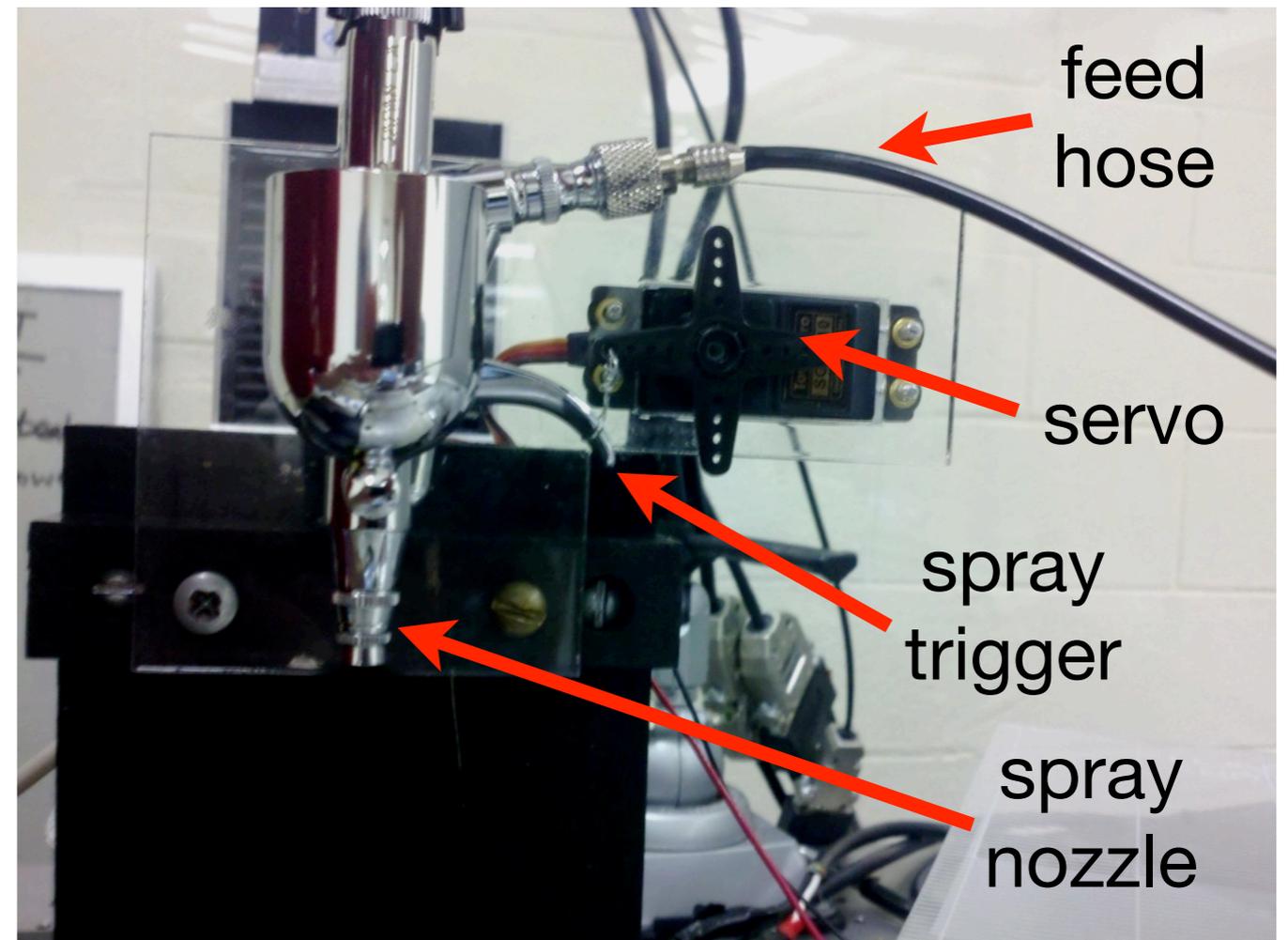
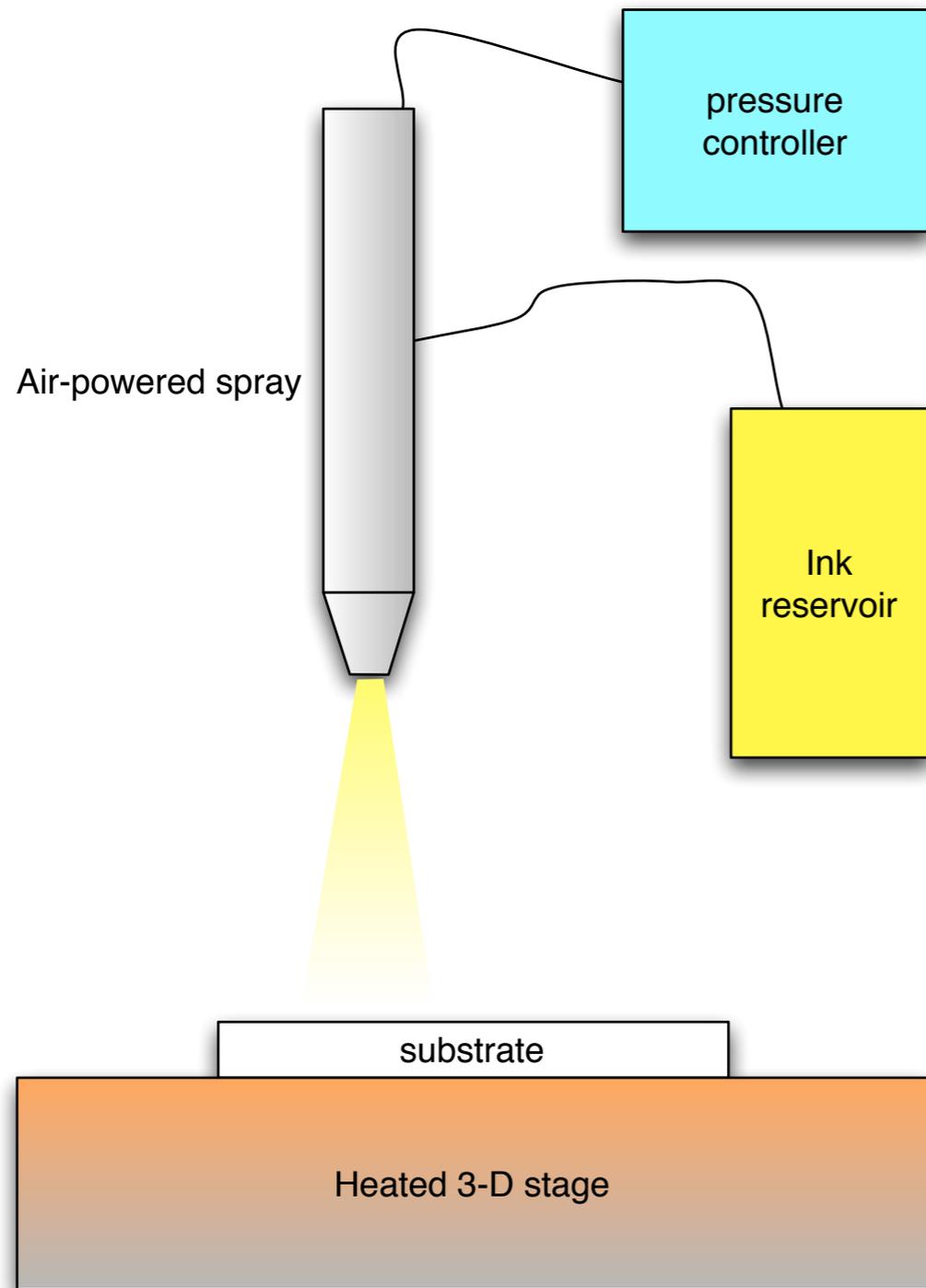
Dielectric properties improve with printable polyfurfuryl alcohol (PFA) copolymer



- Much higher capacitance and dielectric loss at low frequency ($< 1\text{kHz}$) due to leakage current (carriers, defects, pinholes)
- Dramatic change in capacitance and dielectric loss with frequency due to different contributions from space charges or water molecules at various frequencies.

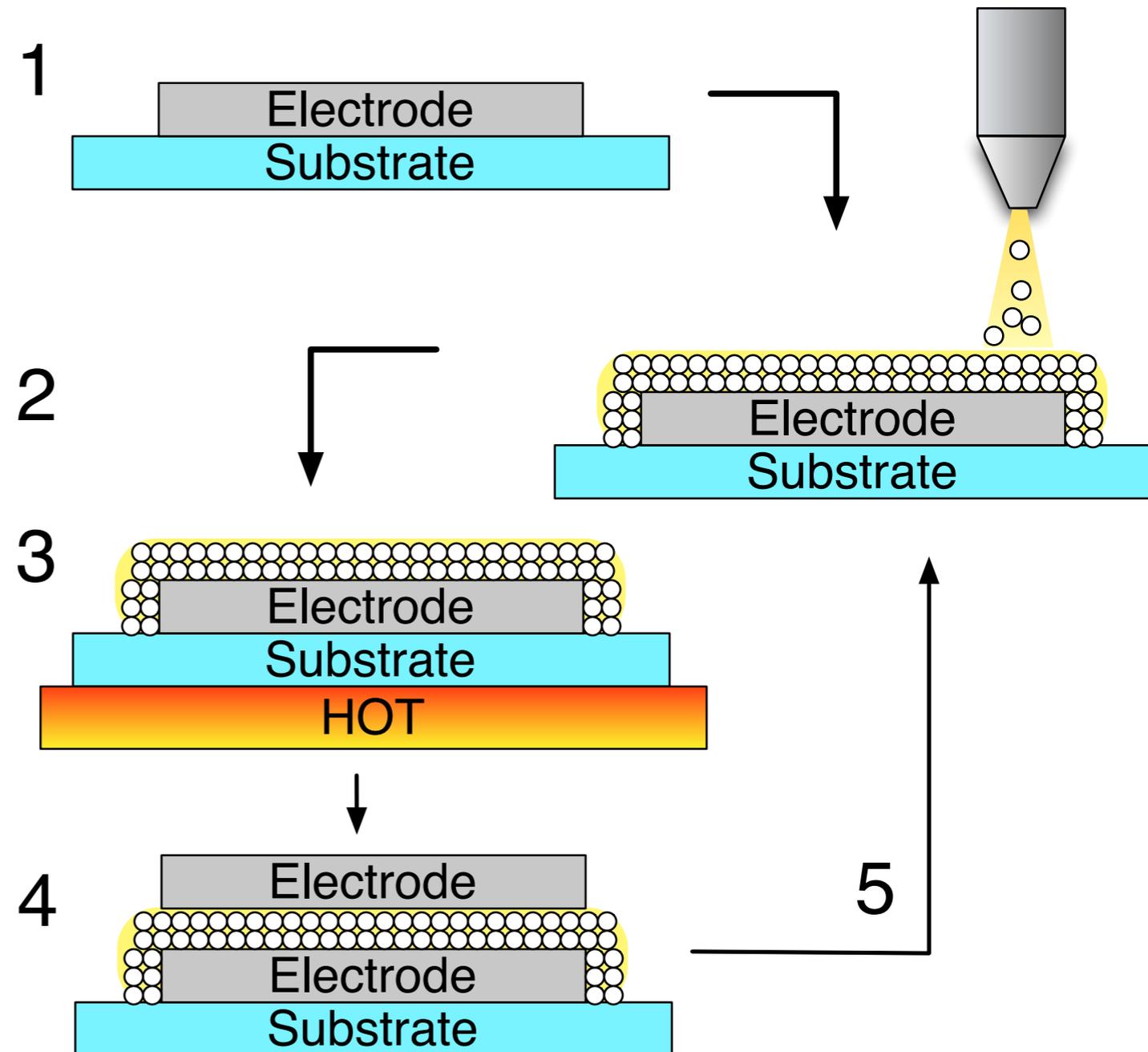
- With in-situ polymerization, nanocrystal surface passivated, defects or pinholes reduced, fewer absorbers:
- stable and increased readings in capacitance;
 - low and stable readings in dielectric loss;
 - increase of k compared with that for pure BST: indicating that FA and PFA penetrate into voids.

Spray coating for scalability

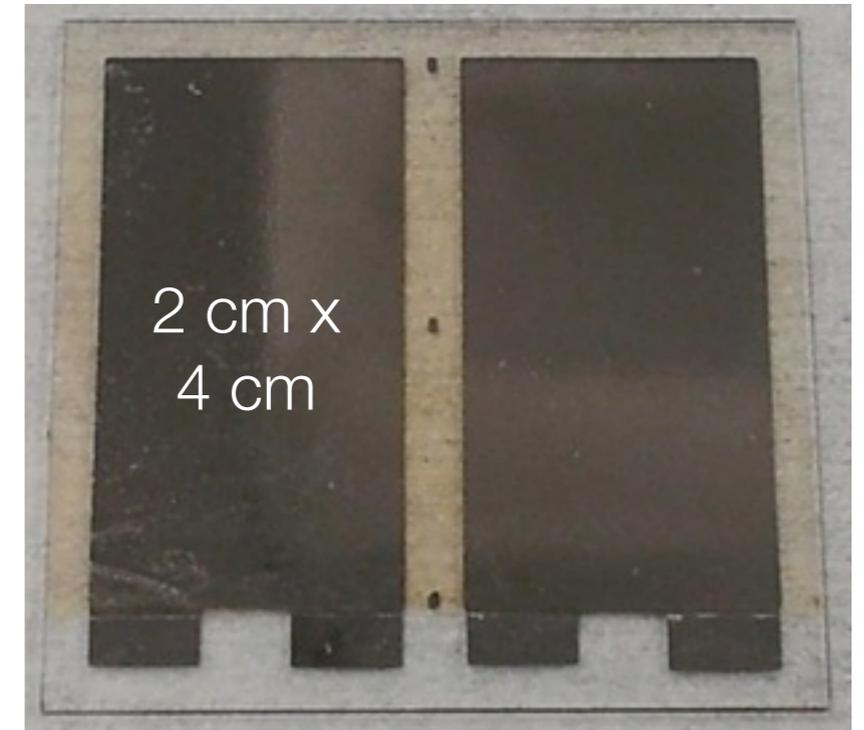
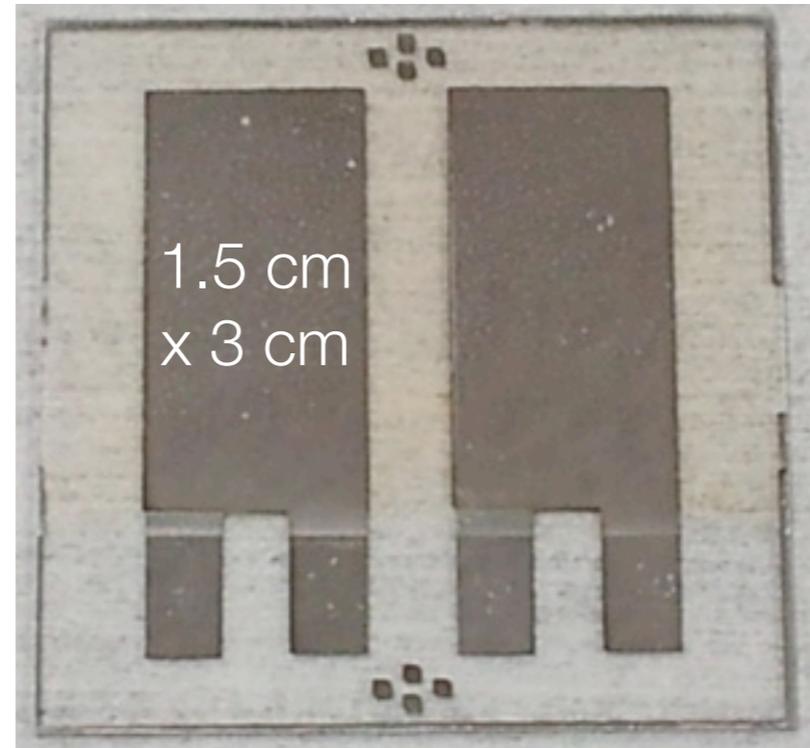
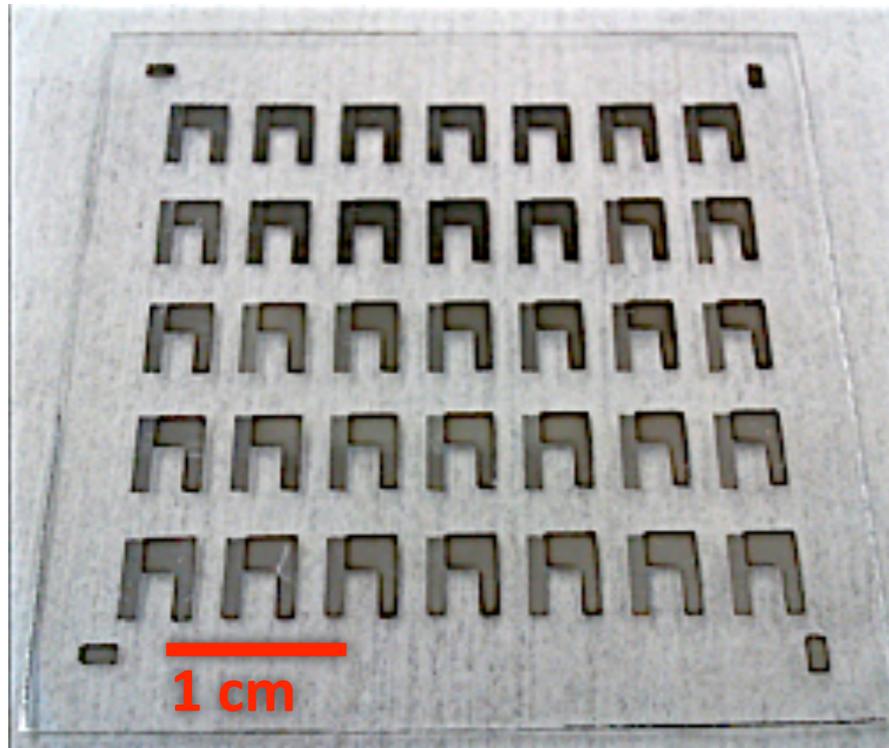


Spray coating process

1. Deposit evaporated aluminum electrode
2. Print dielectric layer
3. Heat treatment
4. Deposit next electrode layer
5. Repeat to build multilayer structure



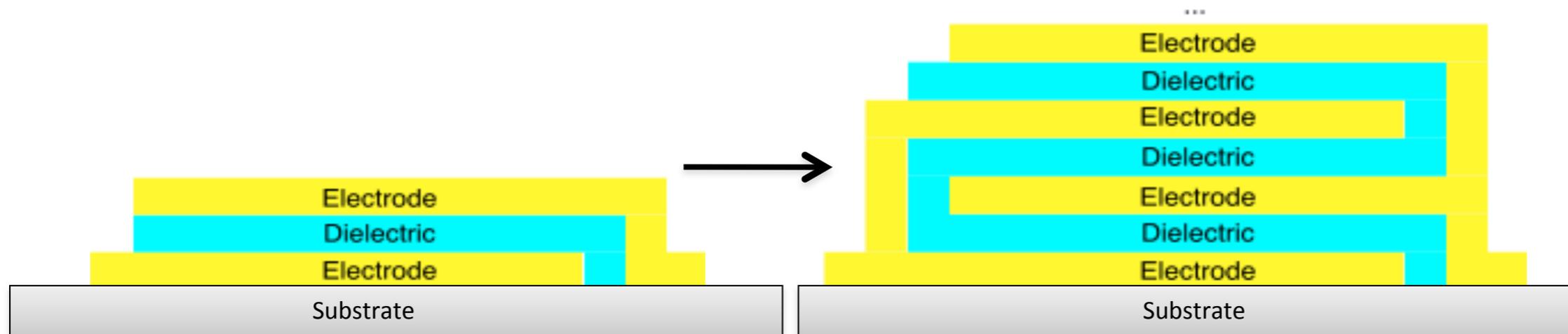
Scaling outward



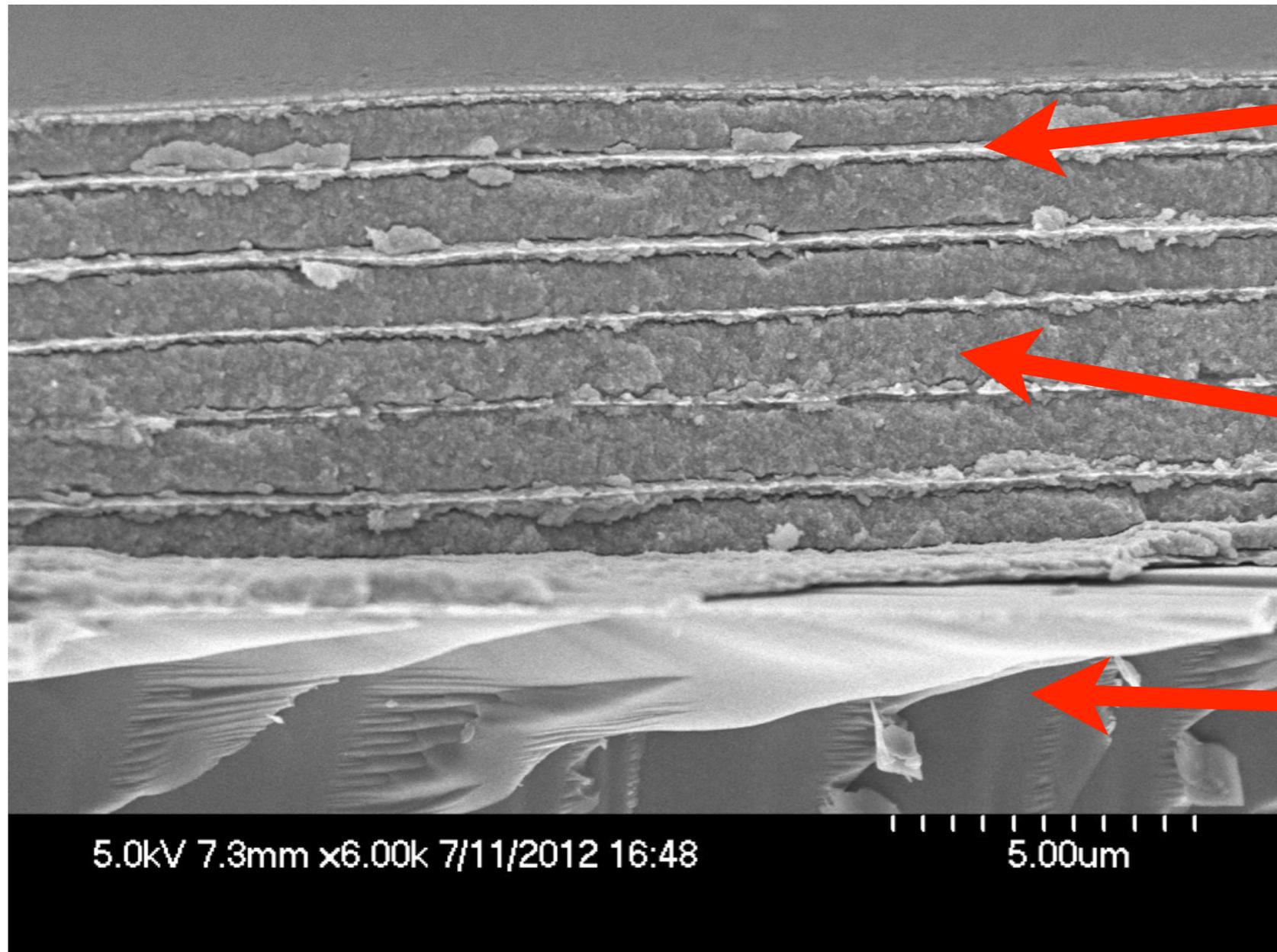
Mid 2011	Late 2011	Mid 2012
4 mm ²	450 mm ²	800 mm ²
2 nF (4 layers)	80 nF	180 nF

Scaling upward

Alternate electrode and dielectric layers, forming interleaved, multilayer capacitor structures



Spray-coated 6-layer capacitor

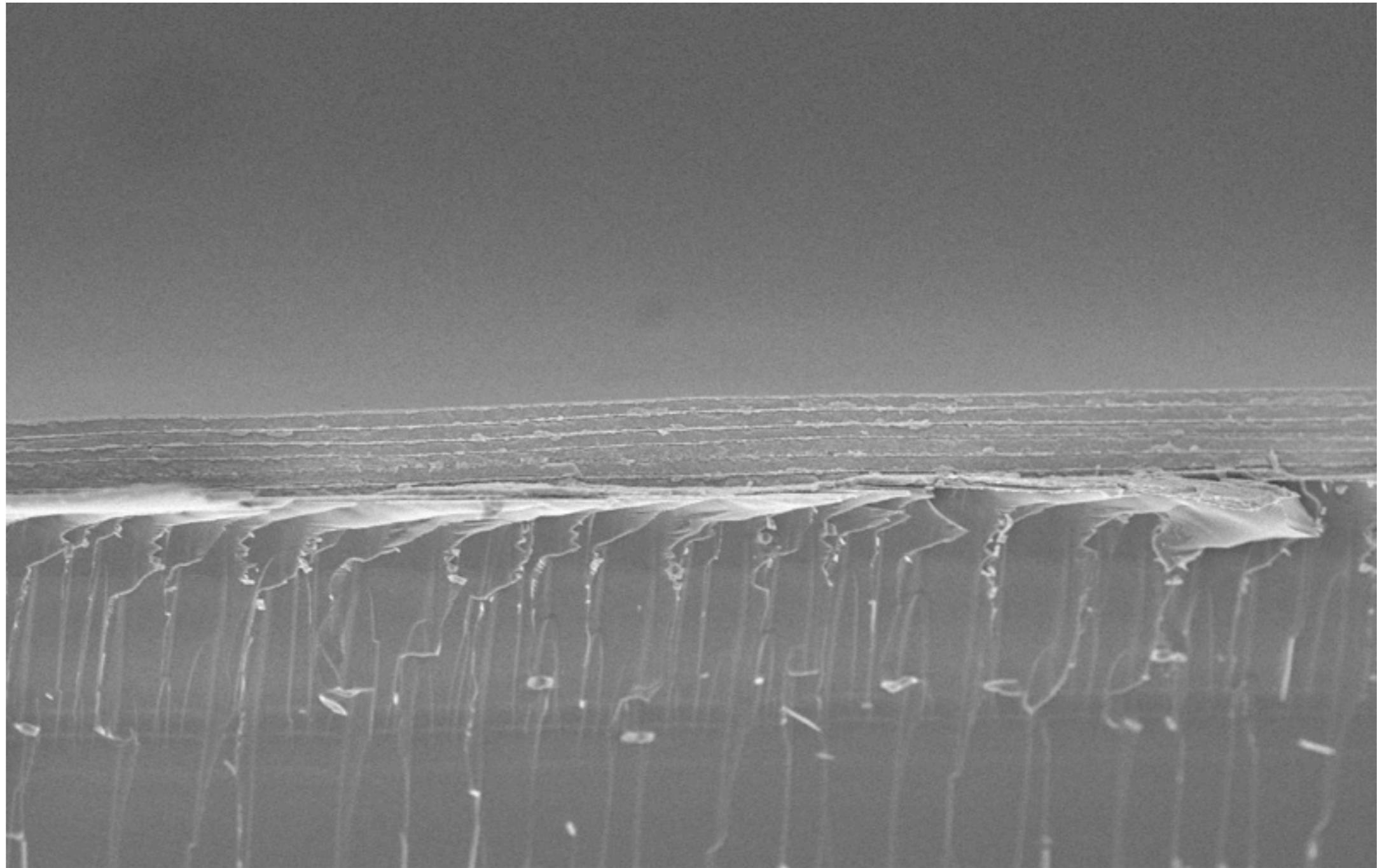


evaporated
aluminum
electrode

spray-printed
dielectric

glass
substrate

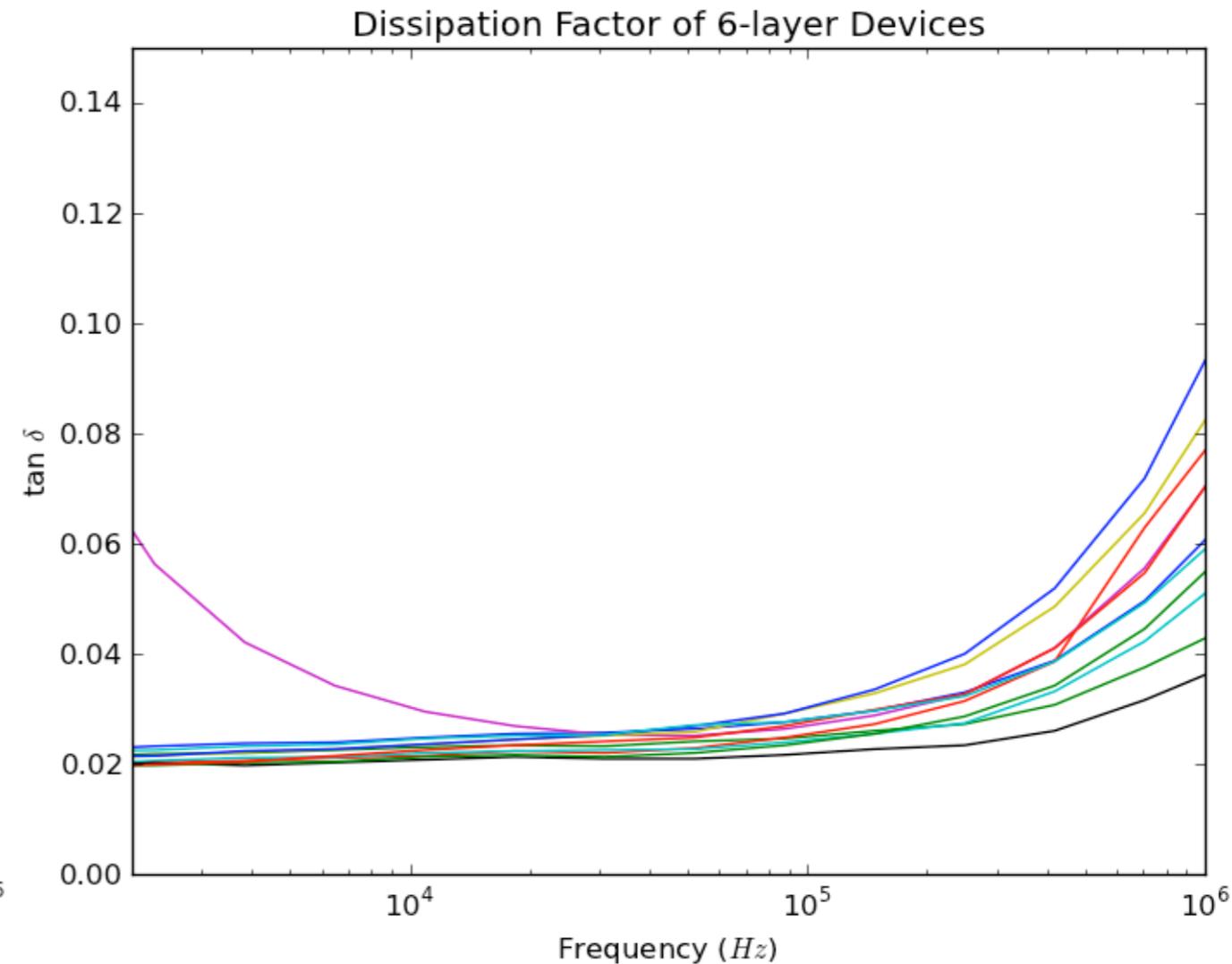
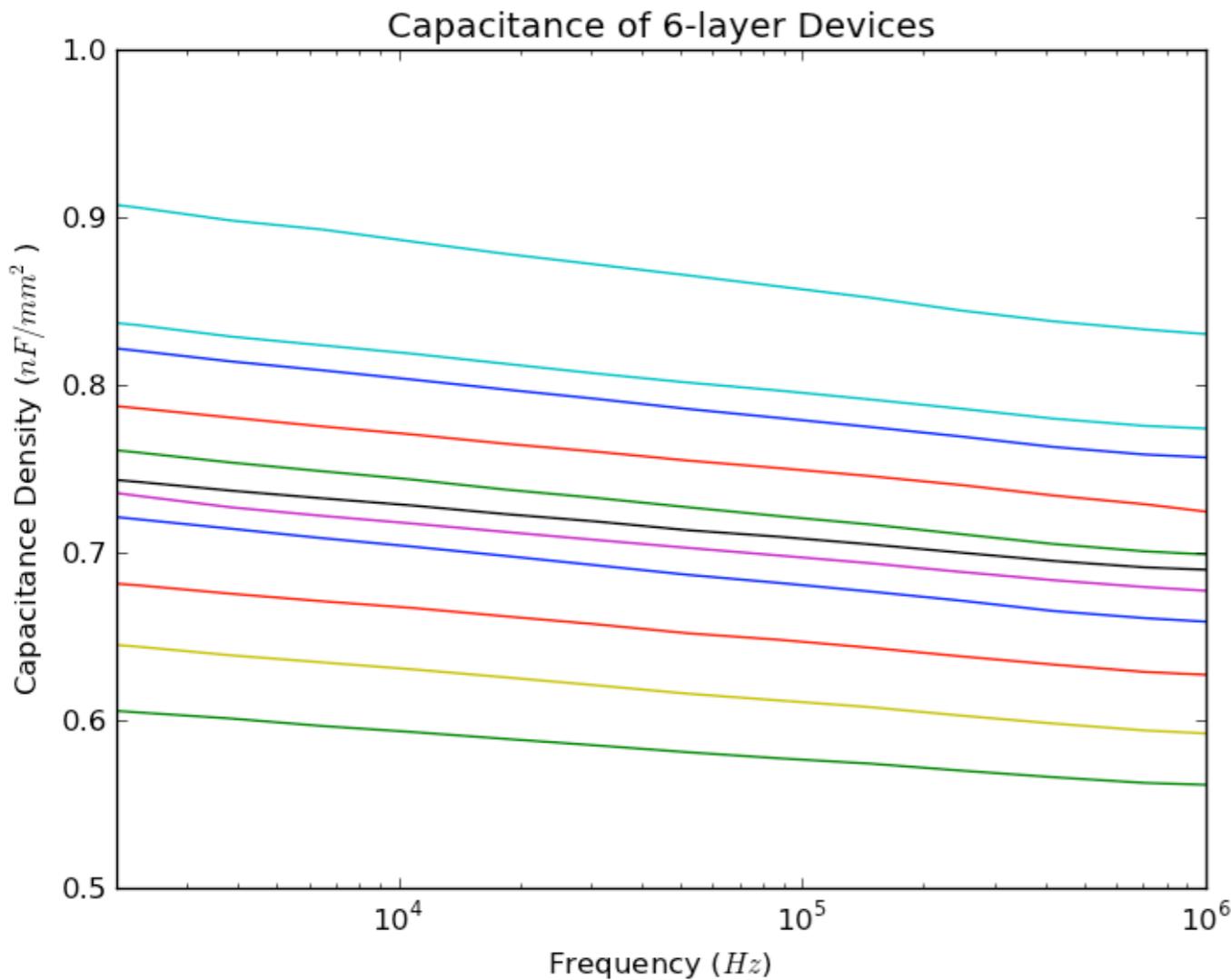
Wide-area dielectric films by spray printing



5.0kV 7.3mm x1.10k 7/11/2012 16:46

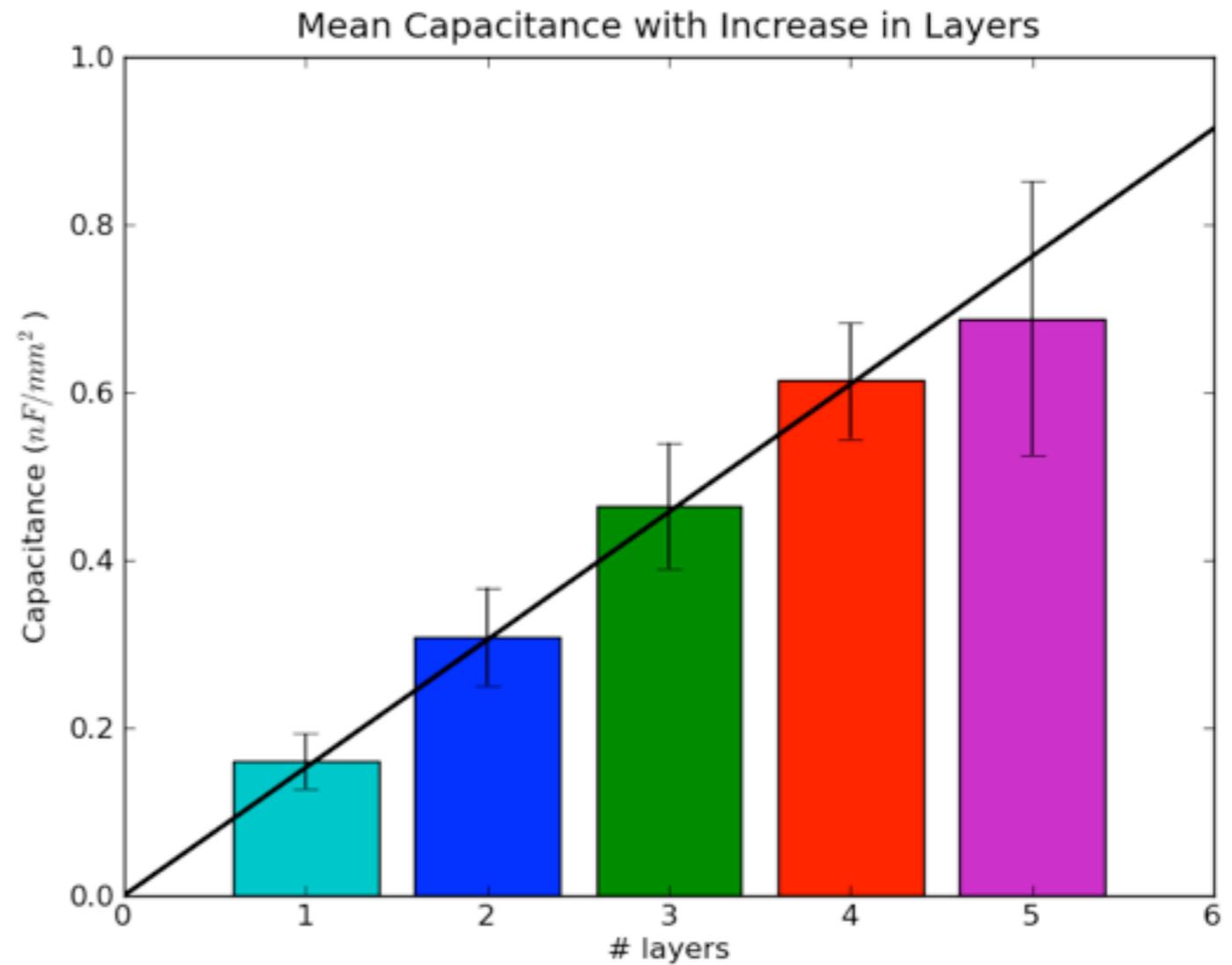
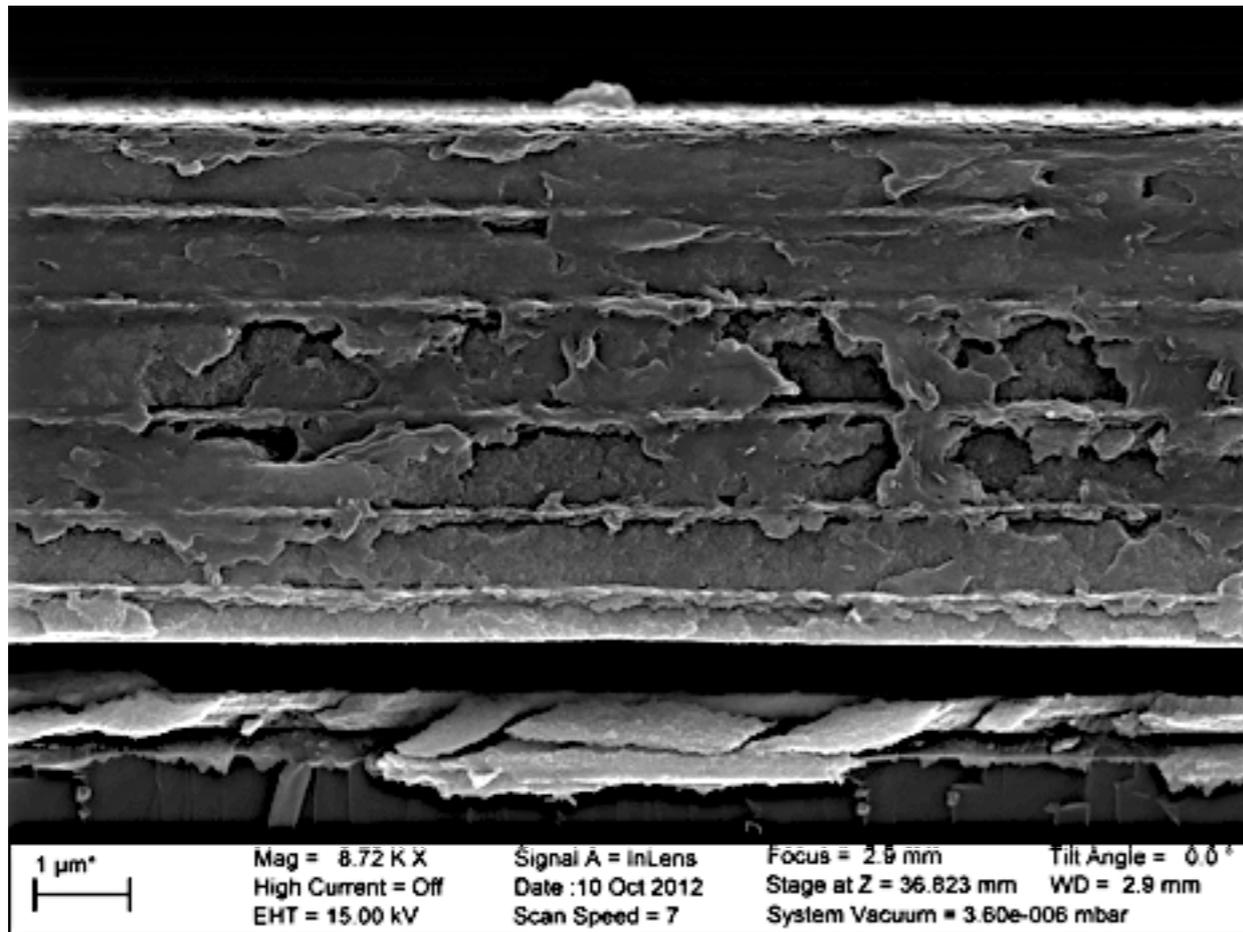
50.0um

6-Layer capacitor performance



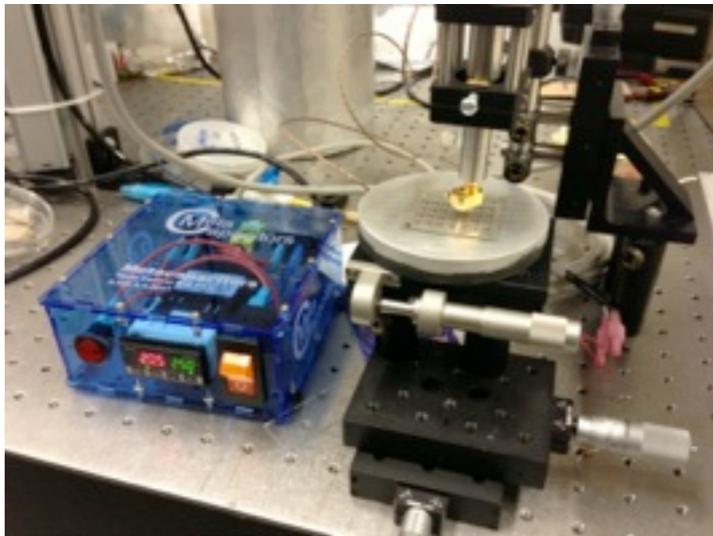
- Capacitance density = 0.75 nF/mm^2 , $k \sim 15$
- Dissipation factor = 0.06 at 1 MHz
- Leakage current = 1 nA/mm^2 at 40 V

Increasing capacitance layer-by-layer

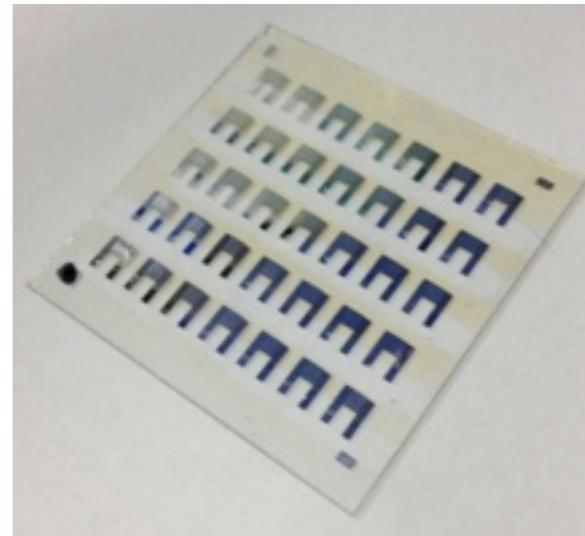


Temperature stability

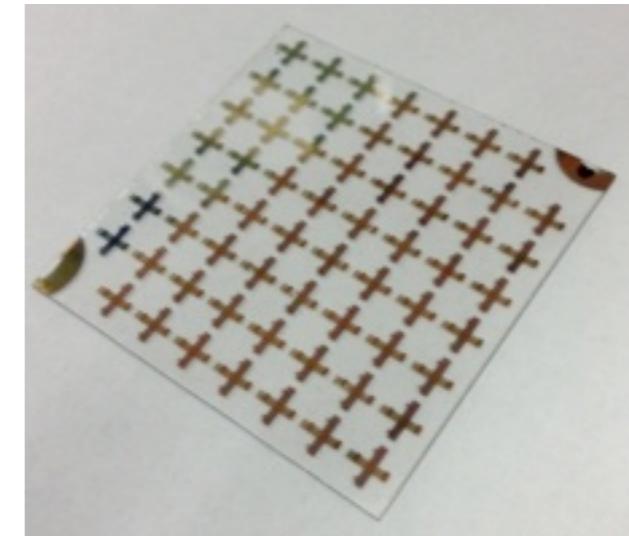
Setup for elevated temperature test



Spray coated sample



Spin coated sample



Temperature stability and age test of spin and spray coated Metacapacitor prototypes

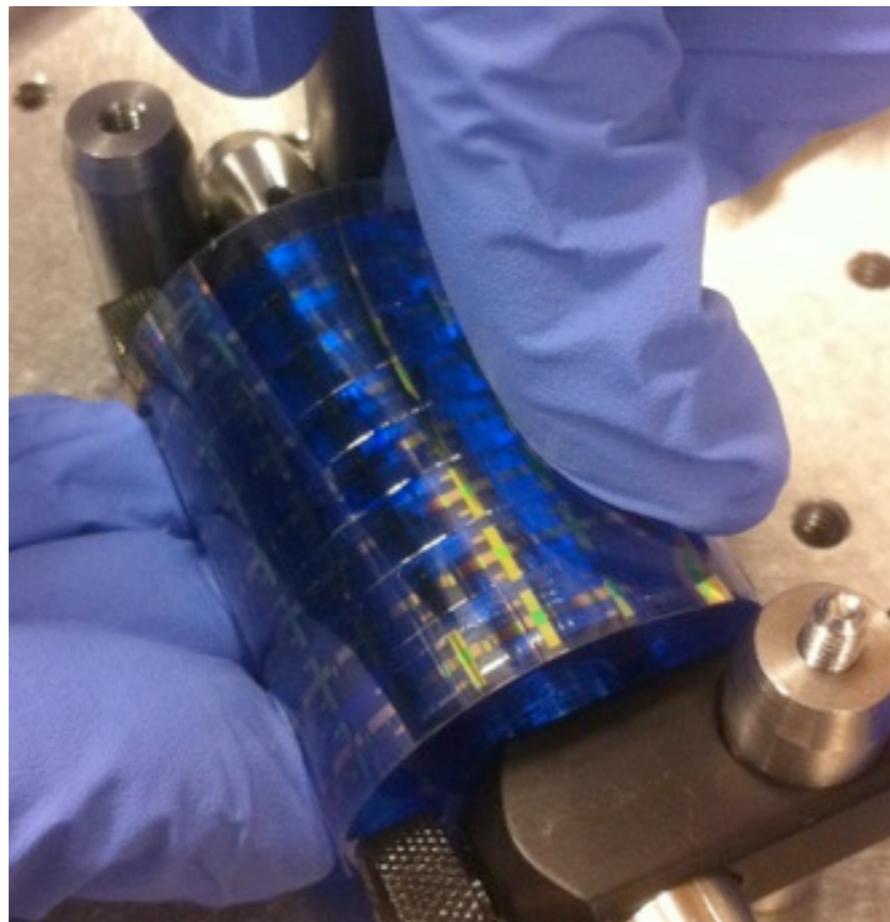
Metrics	*Age test part I (100 hour @125°C)	*Age test part II (1000 hour @125°C)	*Elevated temperature test (25 to 125 °C)
Milestone	< 30 % Δ in capacitance	< 30 % Δ in capacitance	-
Spin coated 1 mm ² capacitor	6 % Δ	< 1 % Δ	6.5 % Δ
Spray coated 4 mm ² capacitor	17 % Δ	< 1 % Δ	2.5 % Δ

- All capacitance are measured at 1 MHz
- Age test part 1 and part 2 are continuous test with total of 1100 hours

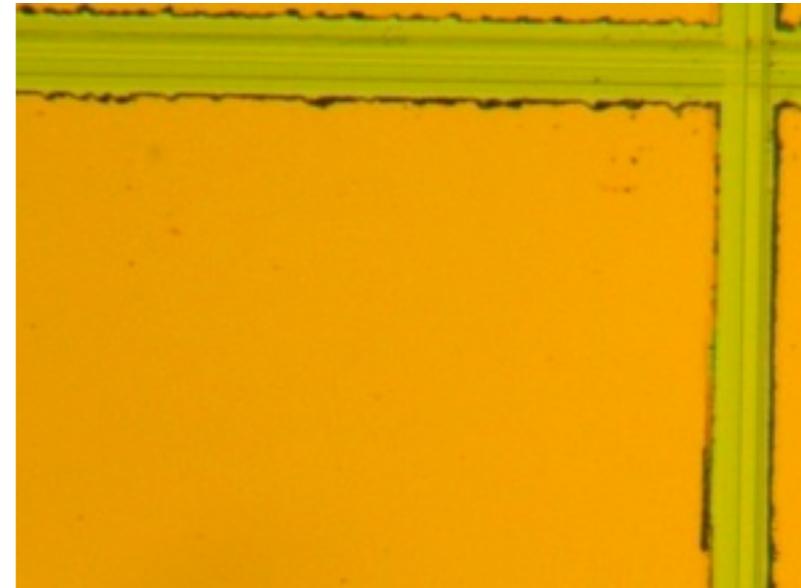
* Compared to its initial capacitance value at 25C

Mechanical testing

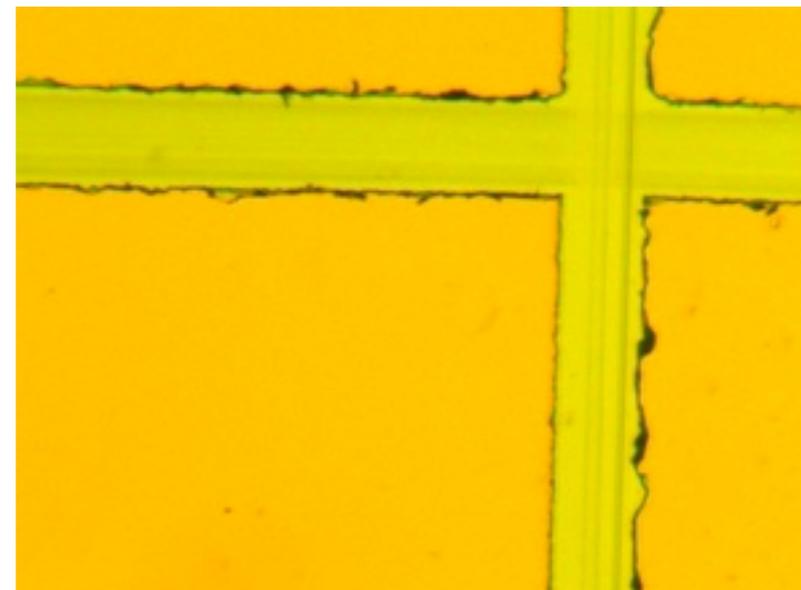
- 2 cm radius bending test with no degradation in performance
- Tape test shows excellent adhesion



Before Tape Test

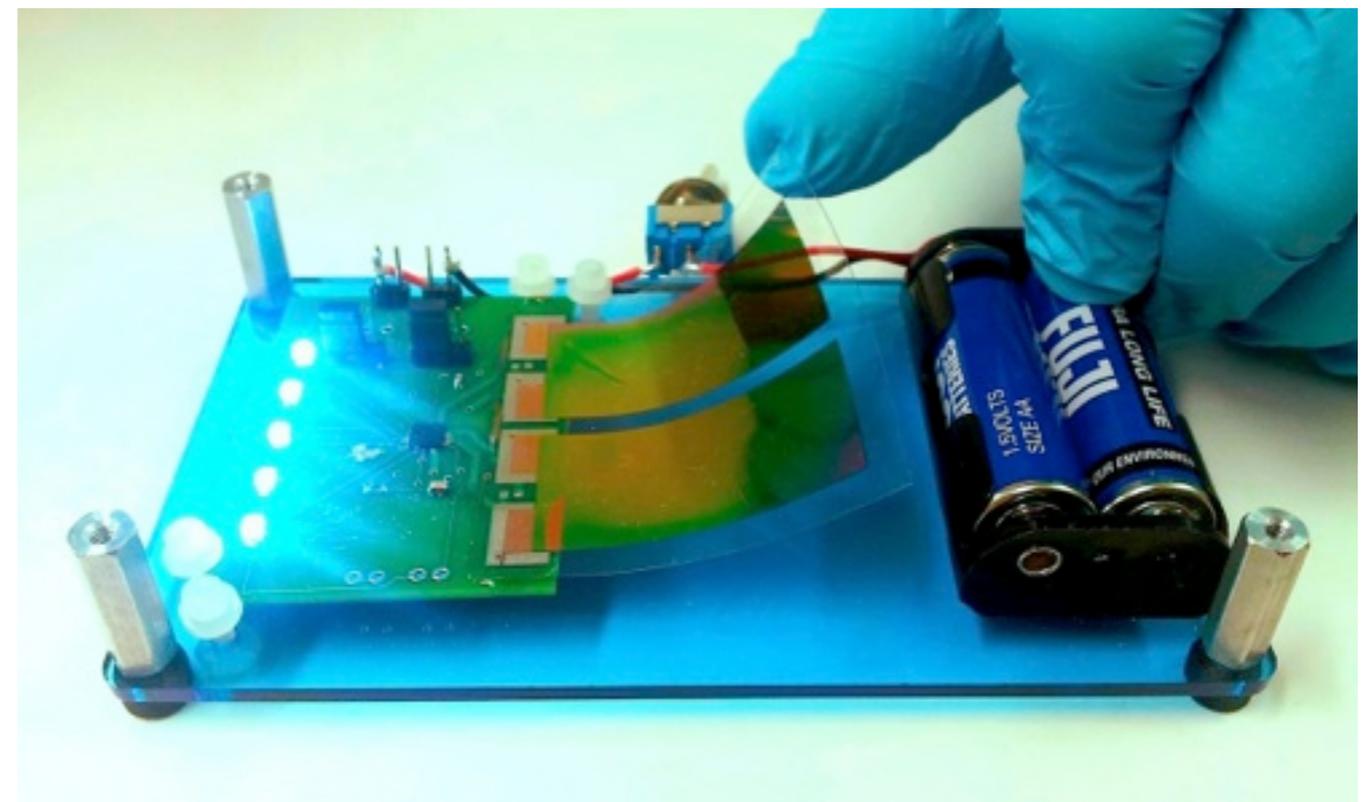
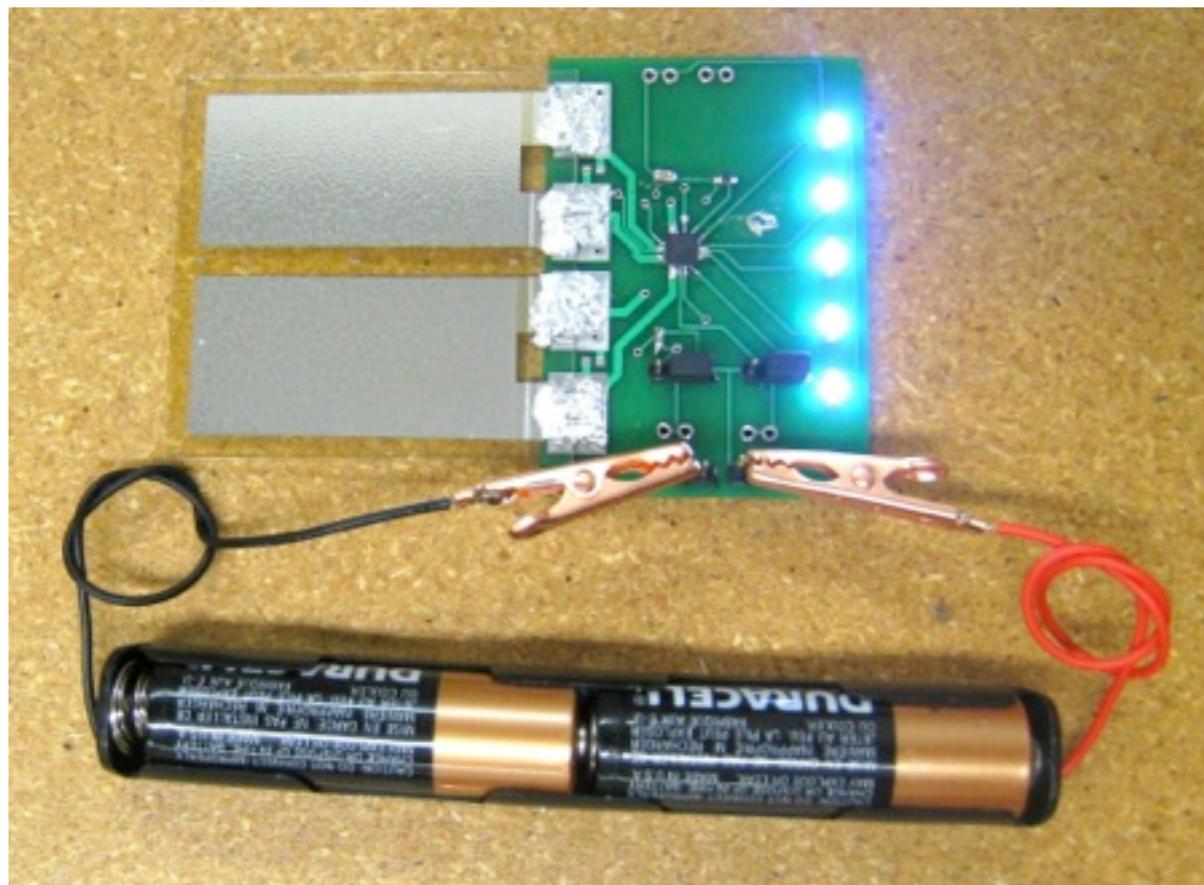


After Tape Test



Integration to a power circuit

Metacapacitors in a 1 MHz LED driver regulator circuit



- Spray-coated caps on glass

- Spin-coated caps on flex

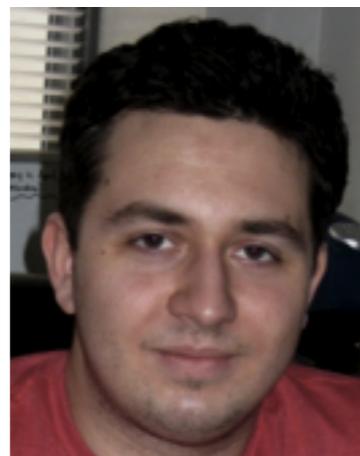
Thanks!



Dr. Eli Leland
Chemical Engineering
CCNY



Barry Van Tassell
Chemical engineering
CCNY



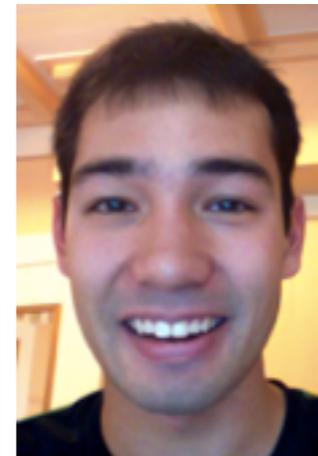
Paul Chando
Chemical Engineering
CCNY



Shyuan Yang
Electrical Engineering
Columbia University



Chengrui Le
Electrical engineering
Columbia University



Daniel Gerber
Electrical engineering
UC Berkeley



Dr. Shuangyi Liu
Chemistry
CCNY



Dr. Limin Huang
Chemistry
SCUST



Prof. Seth Sanders
Electrical engineering
UC Berkeley



Prof. Peter Kinget
Electrical engineering
Columbia University



Prof. Ioannis Kymissis
Electrical engineering
Columbia University



Prof. Alex Couzis
Chemical engineering
CCNY



Prof. Dan Steingart
Chemical engineering
CCNY



Prof. Steve O'Brien
Chemistry
CCNY

Contact: Eli Leland (esleland@che.ccny.cuny.edu)

backup



Moving forward

- Integrating spray-coating process on flexible substrates
- Testing custom power IC with printed capacitors
- Integrated LED driver prototype on flex!

Why capacitors instead of inductors?

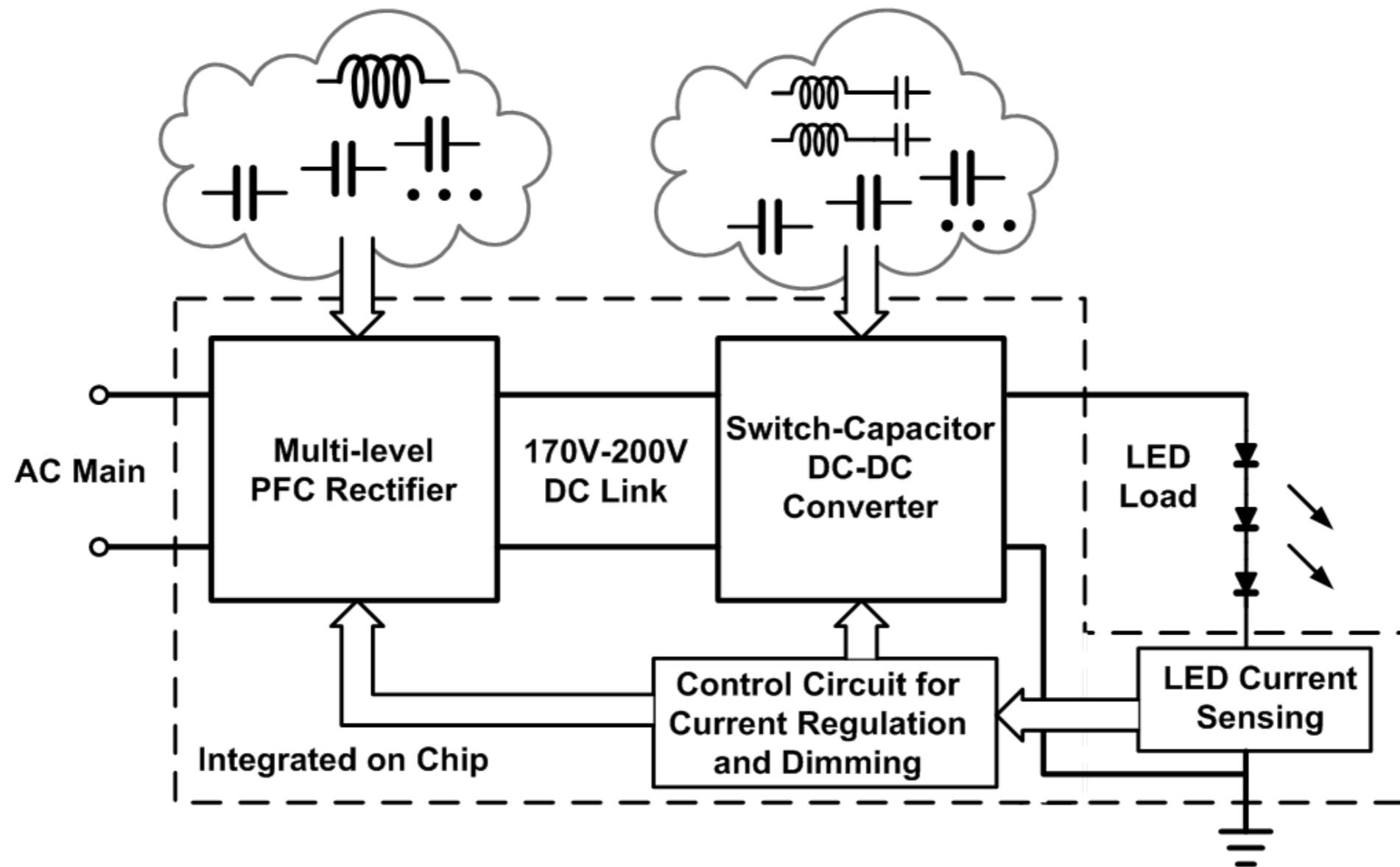
Type	Manufacturer	Capacitance, Voltage rating	Dimensions (mm)	Energy density ($\mu\text{J}/\text{mm}^3$)
Ceramic Cap	Taiyo-Yuden	22 μF @4V	1.6 x 0.8 x 0.8	172
Ceramic Cap	Taiyo-Yuden	1 μF @35V	1.6 x 0.8 x 0.8	598
Tantalum Cap	Vishay	10 μF @4V	1.0 x 0.5 x 0.6	267
Tantalum Cap	Vishay	100 μF @6.3V	2.4 x 1.45 x 1.1	518
Electrolytic Cap	Kemet	22 μF @16V	7.3 x 4.3 x 1.9	47
Electrolytic Cap	C.D.E	210mF@50V	76 ϕ x 219	264
Shielded SMT Inductor	Coilcraft	10 μH @ 0.21A	2.6 x 2.1 x 1.8	0.022
Shielded SMT Inductor	Coilcraft	100 μH @ 0.1A	3.4 x 3.0 x 2.0	0.025
Shielded inductor	Coilcraft	170 μH @ 1.0A	11 x 11 x 9.5	0.074
Shielded inductor	Murata	1 mH @ 2.4A	29.8 ϕ x 21.8	0.189

>1000x

Capacitors have >1000x higher energy density than inductors for power handling applications

Metacapacitors™

High-level LED driver architecture



- Output regulation is accomplished using frequency modulation of switching converter

Update on materials synthesis

Scalable, low T “gel-rod” method for BST and novel Oxides



precursor gel

r. t. --55°C



BST nanocrystal gel rod

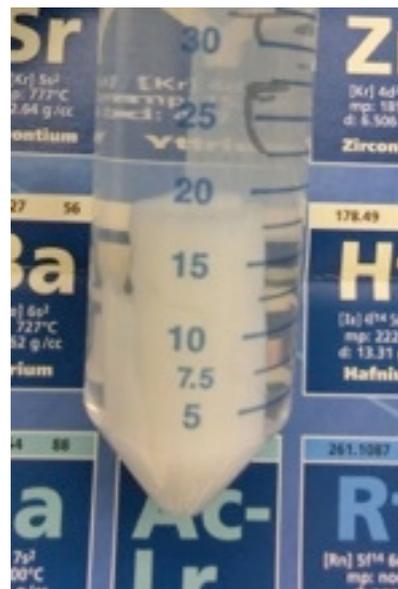
sonication with various solvents



BST/ethanol
40 mg/ml



BST/furfural alcohol
50 mg/ml



The method is being used for all BST experiments, multilayers and for development of new oxides with potentially higher dielectric constant

